

优化程序性能选讲

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概述

- 程序的性能优化
 - 算法的优化
 - 代码的优化
 - 指令的优化
- 一般practice
 - 选择适合的算法和数据结构
 - 算法课
 - 编写能够被编译器有效优化并转化为高效的可执行代码的源码
 - 理解编译器优化的能力和局限

理解编译器优化的能力和局限性

- 理解程序如何编译+执行
- 理解现代处理器和存储系统
- 如何诊断性能瓶颈和提高性能
- 编译器优化可以做什么？
 - 建立程序到机器的有效映射
 - 分配寄存器
 - 代码筛选和调度
 - 死代码消除
 - 简单低效消除
 - Optimization blockers

常见的有效优化：减少计算操作的次数频率

- 不考虑处理器或编译器之外的优化方式
- 减少计算操作的次数频率
 - 保持程序行为总是一致的前提
 - 尤其对循环中的操作

```
void set_row(double *a, double
*b, long i, long n){
    long j;
    for (j = 0; j < n; j++)
        a[n*i+j] = b[j];
}
```



```
void set_row(double *a, double
*b, long i, long n){
    long j;
    int ni = n * i;
    for (j = 0; j < n; j++)
        a[ni+j] = b[j];
}
```

GCC -O1

```
void set_row(double *a, double *b, long
i, long n){
    long j;
    for (j = 0; j < n; j++)
        a[n*i+j] = b[j];
}
```

```
long j;
long ni = n * i;
double *rowp = a + ni;
for (j = 0; j < n; j++)
    *rowp++ = b[j];
```

```
5 set_row:
6 .LFB0:
7 .cfi_startproc
8 endbr64
9 testq %rcx, %rcx
10 jle .L1
11 imulq %rcx, %rdx
12 leaq (%rdi,%rdx,8), %rdx
13 movl $0, %eax
14 .L3:
15 movsd (%rsi,%rax,8), %xmm0
16 movsd %xmm0, (%rdx,%rax,8)
17 addq $1, %rax
18 cmpq %rax, %rcx
19 jne .L3
20 .L1:
21 ret
```

```
# Test n
# If 0, goto done
# ni = n * i
# rowp = A + ni * 8
# j = 0
# loop:
# t = b[j]
# M[A+ni*8+j*8] = t
# j ++
# if != , goto loop
# done:
```

常见的有效优化：强度降低

- 用简单操作代替复杂操作
- Shift, add代替乘除
 - $16 * x \rightarrow x \ll 4$

```
for (i = 0; i < n; i++){  
    int ni = n * i;  
    for (j = 0; j < n; j++)  
        a[ni+j] = b[j];  
}
```



```
int ni = 0;  
for (i = 0; i < n; i++){  
    for (j = 0; j < n; j++)  
        a[ni+j] = b[j];  
    ni += n;  
}
```

常见的有效优化：子表达式的复用

```
/*sum neighbors of i,j*/
up   = val[ (i-1)*n   + j ];
down = val[ (i+1)*n   + j ];
left  = val[  i*n   + j-1 ];
right = val[  i*n   + j+1 ];
sum = up + down + left + right;
```

```
6 sum_func:
7 .LFB0:
8 .cfi_startproc
9 endbr64
10 subl $1, %edi
11 movq val(%rip), %rcx
12 movl %esi, %eax
13 imull %edx, %edi
14 addl %edi, %esi
15 movslq %esi, %rsi
16 movsd (%rcx,%rsi,8), %xmm0
17 leal (%rdi,%rdx,2), %esi
18 leal (%rsi,%rax), %edi
19 subl %edx, %esi
20 movslq %edi, %rdi
21 movsd %xmm0, up(%rip)
22 addl %eax, %esi
23 movsd (%rcx,%rdi,8), %xmm3
24 movslq %esi, %rsi
25 addsd %xmm3, %xmm0
26 movsd %xmm3, down(%rip)
27 movsd -8(%rcx,%rsi,8), %xmm2
28 movsd %xmm2, left(%rip)
29 movsd 8(%rcx,%rsi,8), %xmm1
30 addsd %xmm2, %xmm0
31 movsd %xmm1, right(%rip)
32 addsd %xmm1, %xmm0
33 movsd %xmm0, sum(%rip)
34 ret
```

```
/*sum neighbors of i,j*/
long inj = i * n + j;
up   = val[ inj - n ];
down = val[ inj + n ];
left  = val[ inj - 1 ];
right = val[ inj + 1 ];
sum = up+down+left+right;
```

```
5 sum_func:
6 .LFB0:
7 .cfi_startproc
8 endbr64
9 imull %edx, %edi
10 addl %esi, %edi
11 movslq %edi, %rsi
12 movq val(%rip), %rax
13 movslq %edx, %rdx
14 movq %rsi, %rcx
15 subq %rdx, %rcx
16 movsd (%rax,%rcx,8), %xmm1
17 movsd %xmm1, up(%rip)
18 addq %rsi, %rdx
19 movsd (%rax,%rdx,8), %xmm3
20 movsd %xmm3, down(%rip)
21 movsd -8(%rax,%rsi,8), %xmm2
22 movsd %xmm2, left(%rip)
23 movsd 8(%rax,%rsi,8), %xmm0
24 movsd %xmm0, right(%rip)
25 addsd %xmm3, %xmm1
26 addsd %xmm2, %xmm1
27 addsd %xmm1, %xmm0
28 movsd %xmm0, sum(%rip)
29 ret
```

我们的目标

- 编写能够被编译器有效优化并转化为高效的可执行代码的源码
 - 编写适合编译优化的源码
- [GCC online documentation - GNU Project](#)
 - -O0
 - -O1
 - -O2
 - -O3
 - -Os
 - -Ofast
 - -Og

GCC的优化选项

管理 控制 视图 热键 设备 帮助

```
$ gcc -Q -O1 --help=optimizers
```

S 英 简 拼

[GCC online documentation - GNU Project](#)

-01 Optimize. Optimizing compilation takes somewhat more time, and a lot more memory for a large function.

With '-O', the compiler tries to reduce code size and execution time, without performing any optimizations that take a great deal of compilation time.

'-O' turns on the following optimization flags:

- fauto-inc-dec
- fbranch-count-reg
- fcombine-stack-adjustments
- fcompare-elim
- fcprop-registers
- fdce
- fdefer-pop
- fdelayed-branch
- fdse

- fforward-propagate
- fguess-branch-probability
- fif-conversion
- fif-conversion2
- finline-functions-called-once
- fipa-profile
- fipa-pure-const
- fipa-reference
- fipa-reference-addressable
- fmerge-constants
- fmove-loop-invariants
- fomit-frame-pointer
- freorder-blocks
- fshrink-wrap
- fshrink-wrap-separate
- fsplit-wide-types
- fssa-backprop
- fssa-phiopt
- ftree-bit-ccp
- ftree-ccp
- ftree-ch
- ftree-coalesce-vars
- ftree-copy-prop
- ftree-dce
- ftree-dominator-opts
- ftree-dse
- ftree-forwprop
- ftree-fre
- ftree-hiprop
- ftree-pta
- ftree-scev-cprop
- ftree-sink
- ftree-slsr
- ftree-sra
- ftree-ter
- funit-at-a-time

GCC online documentation - GNU Project

-O2 Optimize even more. GCC performs nearly all supported optimizations that do not involve a space-speed tradeoff. As compared to ‘-O’, this option increases both compilation time and the performance of the generated code.

‘-O2’ turns on all optimization flags specified by ‘-O’. It also turns on the following optimization flags:

-falign-functions	-falign-jumps	-fipa-bit-cp	-fipa-cp	-fipa-icf
-falign-labels	-falign-loops	-fipa-ra	-fipa-sra	-fipa-vrp
-fcaller-saves		-fisolate-erroneous-paths-dereference		
-fcode-hoisting		-flra-remat		
-fcrossjumping		-foptimize-sibling-calls		
-fcse-follow-jumps	-fcse-skip-blocks	-foptimize-strlen		
-fdelete-null-pointer-checks		-fpartial-inlining		
-fdevirtualize	-fdevirtualize-speculatively	-fpeephole2		
-fexpensive-optimizations		-freorder-blocks-algorithm=stc		
-ffinite-loops		-freorder-blocks-and-partition	-freorder-functions	
-fgcse	-fgcse-lm	-frerun-cse-after-loop		
-fhoist-adjacent-loads		-fschedule-insns	-fschedule-insns2	
-finline-functions		-fsched-interblock	-fsched-spec	
-finline-small-functions		-fstore-merging		
-findirect-inlining		-fstrict-aliasing		
		-fthread-jumps		
		-ftree-builtin-call-dce		
		-ftree-pre		
		-ftree-switch-conversion	-ftree-tail-merge	
		-ftree-vrp		

理解编译器优化的能力和局限性

- 理解程序如何编译+执行
- 理解现代处理器和存储系统
- 如何诊断性能瓶颈和提高性能
- 编译器的一些局限，理解编译器的优化痛点
 - 编译器优化前提是*safe*
 - Within procedure analyses
 - Static information analyses
 - “Conservative” to be “safe”

理解编译器优化的痛点

- Two optimization blocker
 - Memory aliasing
 - Function calls

示例程序1.1

```
void sum_row1(double *a, double *b, long n){
    long i, j;
    for (i = 0; i < n; i++){
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n+j];
    }
}
```

```
21 .L3:
22 movsd (%rdx), %xmm0
23 addsd (%rax), %xmm0
24 movsd %xmm0, (%rdx)
25 addq $8, %rax
26 cmpq %rcx, %rax
27 jne .L3
```

B数组

```
double A[9] =
{
    0,  1,  2,
    4,  8, 16,
    32, 64, 128};
```

```
double *B = A+3;
```

```
sum_row1(A, B, 3)
```

init: [4, 8, 16]

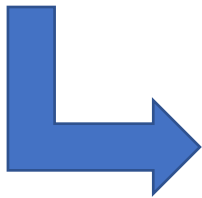
i=0: [3, 8, 16]

i=1: [3, 22, 16]

i=2: [3, 22, 224]

示例程序1.1

```
void sum_row1(double *a, double *b, long n){
    long i, j;
    for (i = 0; i < n; i++){
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n+j];
    }
}
```



```
void sum_row1(double *a, double *b, long n){
    long i, j;
    for (i = 0; i < n; i++){
        double val = 0;
        for (j = 0; j < n; j++)
            val += a[i*n+j];
        b[i] = val;
    }
}
```

示例程序1.2

```
//a.c
#include <stdio.h>
void f1 (int *xp, int *yp){
    *xp += *yp;
    *xp += *yp;
}
```

gcc -O1 a.c

```
$ objdump -d a.o
```

```
a.o:      file format elf64-x86-64
```

```
Disassembly of section .text:
```

```
0000000000000000 <f1>:
```

0:	f3 0f 1e fa	endbr64
4:	8b 06	mov (%rsi),%eax
6:	03 07	add (%rdi),%eax
8:	89 07	mov %eax, (%rdi)
a:	03 06	add (%rsi),%eax
c:	89 07	mov %eax, (%rdi)
e:	c3	ret

```
//b.c
#include <stdio.h>
void f1 (int *xp, int *yp){
    *xp += 2* *yp;
}
```

gcc -O1 b.c

```
$ objdump -d b.o
```

```
b.o:      file format elf64-x86-64
```

```
Disassembly of section .text:
```

```
0000000000000000 <f1>:
```

0:	f3 0f 1e fa	endbr64
4:	8b 06	mov (%rsi),%eax
6:	01 c0	add %eax,%eax
8:	01 07	add %eax, (%rdi)
a:	c3	ret

如果xp和yp指向同一块内存地址?

示例程序1.2

```
//a.c
#include <stdio.h>
void f1 (int *xp, int *yp){
    *xp += *yp;
    *xp += *yp;
}
```

如果xp和yp指向同一块内存地址?

```
*xp += *xp;
*xp += *xp;
```

```
*xp = 4 * *xp
```

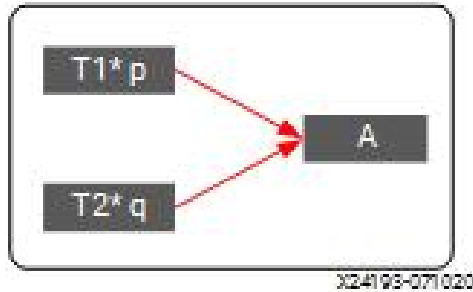
```
//b.c
#include <stdio.h>
void f1 (int *xp, int *yp){
    *xp += 2* *yp;
}
```

```
*xp += 2* *xp;
```

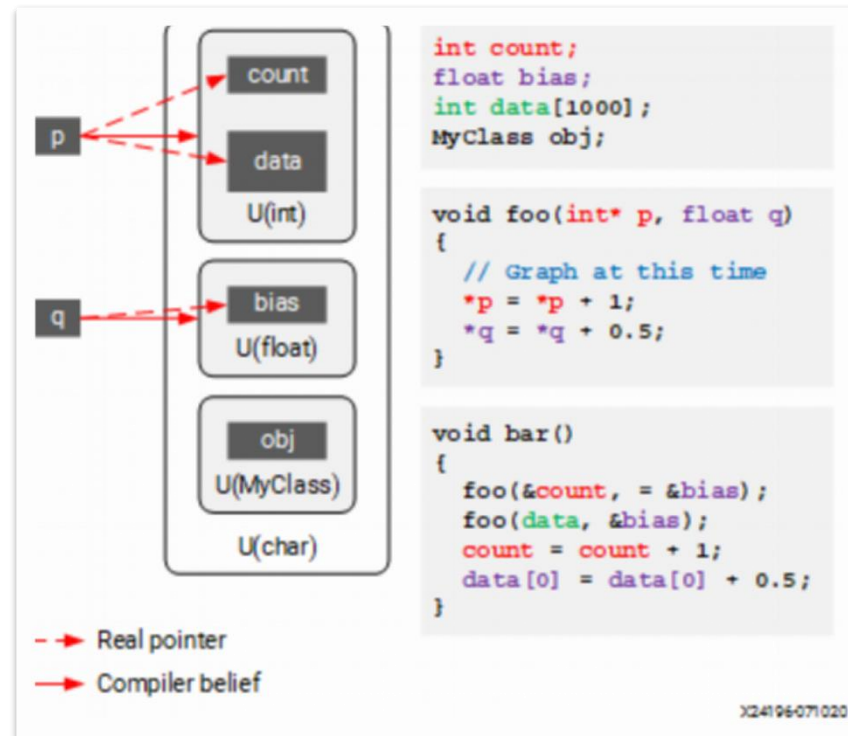
```
*xp = 3 * *xp
```

Memory aliasing

Memory aliasing & strict aliasing rules



```
//a.c
#include <stdio.h>
void f1 (int *xp, int *yp){
    *xp += *yp;
    *xp += *yp;
}
```



```
// C program to illustrate aliasing
#include <stdio.h>
```

```
// Function to change the value of
// ptr1 and ptr2
```

```
int foo(int* ptr1, int* ptr2)
{
    *ptr1 = 10;
    *ptr2 = 11;
    return *ptr1;
}
```

```
// Driver Code
```

```
int main()
{
    int data1 = 10, data2 = 20;

    // Function Call
    int result = foo(&data1, &data2);

    // Print result
    printf("%d ", result);
    return 0;
}
```

Disassembly of section .text:

```
0000000000000000 <foo>:
   0:  f3 0f 1e fa                endbr64
   4:  c7 07 0a 00 00 00          movl    $0xa, (%rdi)
   a:  c7 06 0b 00 00 00          movl    $0xb, (%rsi)
  10:  8b 07                      mov     (%rdi), %eax
  12:  c3                          ret
```

gcc -O1 a.c

gcc -O2 a.c

Memory aliasing引发不同的优化结果

- What to produce by GCC-O1, GCC-O2 optimizers?

```
3 #include <stdio.h>
4
5 // Function to change the value of
6 // ptr1 and ptr2
7 int foo(int* ptr1, long* ptr2)
8 {
9     *ptr1 = 10;
10    *ptr2 = 11.0;
11    return *ptr1;
12 }
13
14 // Driver Code
15 int main()
16 {
17     long data = 100.0;
18
19     // Function Call
20     int result = foo((int *)&data, &data);
21
22     // Print result
23     printf("%d \n", result);
24     return 0;
25 }
```

```
1
2 // C program to illustrate aliasing
3 #include <stdio.h>
4
5 // Function to change the value of
6 // ptr1 and ptr2
7 int foo(int* ptr1, long* ptr2)
8 {
9     *ptr1 = 10;
10    *ptr2 = 11.0;
11    return *ptr1;
12 }
13
14 // Driver Code
15 int main()
16 {
17     long data = 100.0;
18
19     // Function Call
20     int result = foo((int *)&data, &data);
21
22     // Print result
23     printf("%d \n", result);
<ts/ICS2021/teach/Course17/demo.c[1] [c] unix utf-8 Ln 1, Col 0/25
```

```

3 #include <stdio.h>
4
5 // Function to change the value of
6 // ptr1 and ptr2
7 int foo(int* ptr1, long* ptr2)
8 {
9     *ptr1 = 10;
10    *ptr2 = 11.0;
11    return *ptr1;
12 }
13
14 // Driver Code
15 int main()
16 {
17     long data = 100.0;
18
19     // Function Call
20     int result = foo((int *)&data, &data);
21
22     // Print result
23     printf("%d \n", result);
24     return 0;
25 }

```

```

00000000000001149 <foo>:
    1149:      f3 0f 1e fa      endbr64
    114d:      c7 07 0a 00 00 00      movl    $0xa, (%rdi)
    1153:      48 c7 06 0b 00 00 00      movq    $0xb, (%rsi)
    115a:      8b 07      mov     (%rdi), %eax
    115c:      c3      ret

```

gcc -O1 a.c

```

00000000000001180 <foo>:
    1180:      f3 0f 1e fa      endbr64
    1184:      c7 07 0a 00 00 00      movl    $0xa, (%rdi)
    118a:      b8 0a 00 00 00      mov     $0xa, %eax
    118f:      48 c7 06 0b 00 00 00      movq    $0xb, (%rsi)
    1196:      c3      ret

```

gcc -O2 a.c

```

-fstack-reuse=[all|named_vars|none]    all
-fstdarg-opt                            [enabled]
-fstore-merging                         [enabled]
-fstrict-aliasing                       [enabled]
-fstrict-enums                          [available in C++, ObjC++]
-fstrict-volatile-bitfields             [enabled]
-fthread-jumps                          [enabled]

```

```

1200:      41 5e                pop    %r14
1202:      41 5f                pop    %r15
1204:      c3                  ret
1205:      66 66 2e 0f 1f 84 00  data16 cs nopw 0x0(%rax,%ra
x,1)
120c:      00 00 00 00

```

```
0000000000001210 <__libc_csu_fini>:
```

```

1210:      f3 0f 1e fa          endbr64
1214:      c3                  ret

```

Disassembly of section .fini:

```
0000000000001218 <_fini>:
```

```

1218:      f3 0f 1e fa          endbr64
121c:      48 83 ec 08          sub     $0x8,%rsp
1220:      48 83 c4 08          add     $0x8,%rsp
1224:      c3                  ret

```

```
$ gcc -O1 demo.c
```

```
$ ./a.out
```

```
11
```

```
$ gcc -O2 demo.c
```

```
$ ./a.out
```

```
10
```

```
$ gcc -O2 demo.c █
```



```

3 #include <stdio.h>
4
5 // Function to change the value of
6 // ptr1 and ptr2
7 int foo(int* ptr1, long* ptr2)
8 {
9     *ptr1 = 10;
10    *ptr2 = 11.0;
11    return *ptr1;
12 }
13
14 // Driver Code
15 int main()
16 {
17     long data = 100.0;
18
19     // Function Call
20     int result = foo((int *)&data, &data);
21
22     // Print result
23     printf("%d \n", result);
24     return 0;
25 }

```

gcc -O2 a.c -fno-strict-aliasing

```

00000000000001149 <foo>:
    1149:    f3 0f 1e fa                endbr64
    114d:    c7 07 0a 00 00 00          movl    $0xa, (%rdi)
    1153:    48 c7 06 0b 00 00 00      movq    $0xb, (%rsi)
    115a:    8b 07                      mov     (%rdi), %eax
    115c:    c3                          ret

```

gcc -O1 a.c

```

00000000000001180 <foo>:
    1180:    f3 0f 1e fa                endbr64
    1184:    c7 07 0a 00 00 00          movl    $0xa, (%rdi)
    118a:    b8 0a 00 00 00            mov     $0xa, %eax
    118f:    48 c7 06 0b 00 00 00      movq    $0xb, (%rsi)
    1196:    c3                          ret

```

gcc -O2 a.c

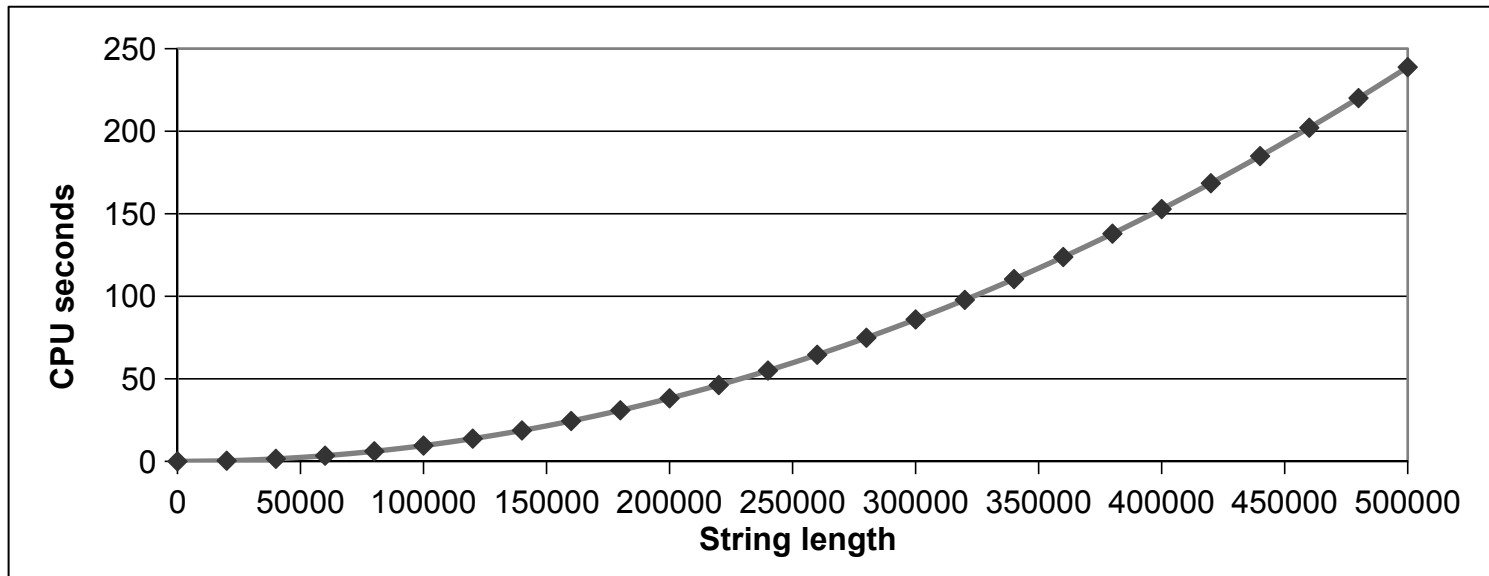
-fstack-reuse=[all named_vars none]	all
-fstdarg-opt	[enabled]
-fstore-merging	[enabled]
-fstrict-aliasing	[enabled]
-fstrict-enums	[available in C++, ObjC++]
-fstrict-volatile-bitfields	[enabled]
-fthread-jumps	[enabled]

Optimization blocker: memory aliasing

- Aliasing
 - 两个不同的内存reference可能指向同一块内存
 - C语言中常见
 - C允许地址操作
 - 合适地引入局部变量
 - 函数内部
 - 开发时消除aliasing

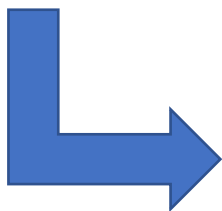
示例程序2.1

```
void lower(char *s){  
    size_t i;  
    for (i = 0; i<strlen(s); i++)  
        if(s[i] >= 'A' && s[i] <= 'Z')  
            s[i] -= ('A' - 'a');  
}
```



示例程序2.1

```
void lower(char *s){
    size_t i;
    for (i = 0; i < strlen(s); i++)
        if (s[i] >= 'A' && s[i] <= 'Z')
            s[i] -= ('A' - 'a');
}
```



```
void lower(char *s){
    size_t i = 0;
    if (i >= strlen(s))
        goto done;

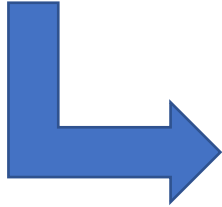
loop:
    if (s[i] >= 'A' && s[i] <= 'Z')
        s[i] -= ('A' - 'a');
    i++;
    if (i < strlen(s))
        goto loop;

done:
}
```

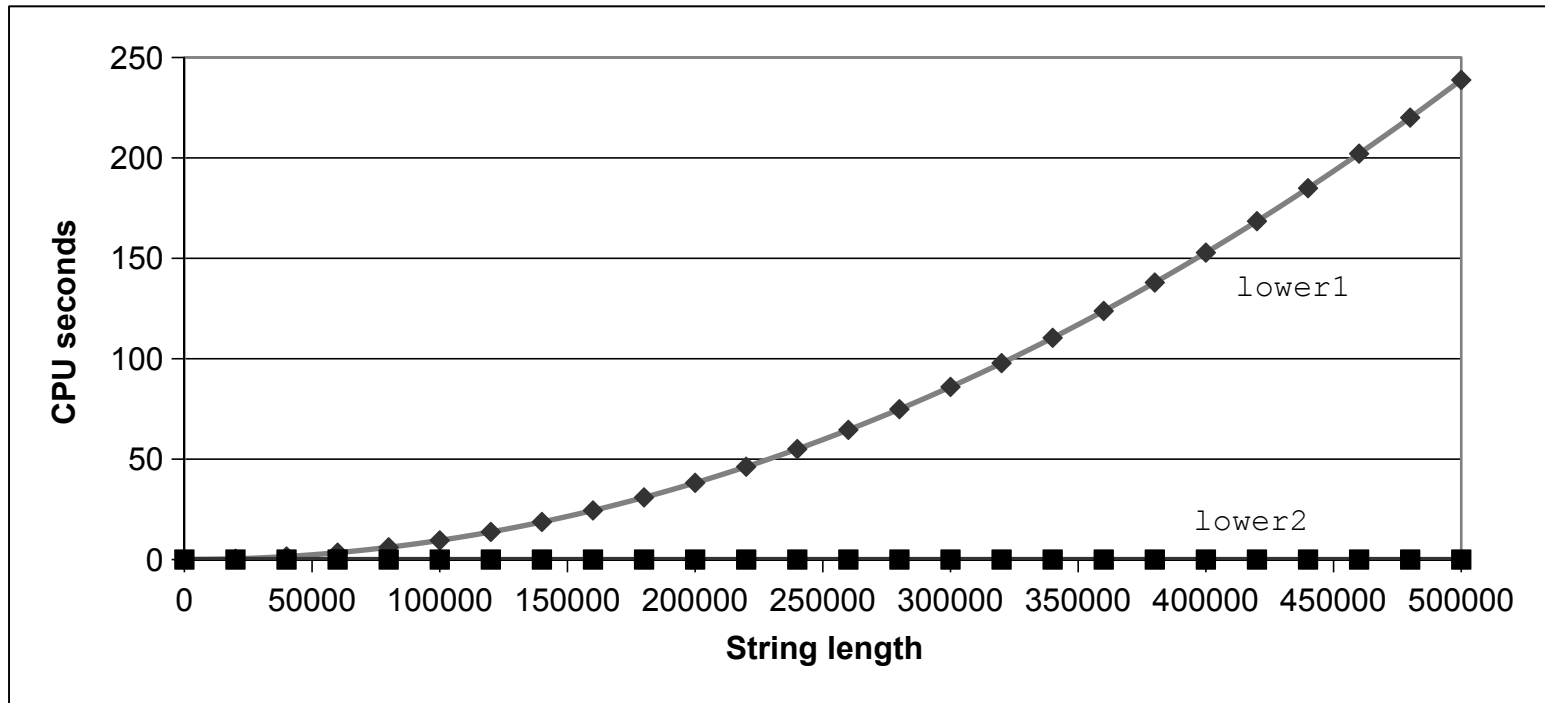
- `strlen()`: 找结束符
 - 线性复杂度
 - N次进入循环，二次复杂度

示例程序2.1

```
void lower1(char *s){
    size_t i;
    for (i = 0; i<strlen(s); i++)
        if(s[i] >= 'A' && s[i] <= 'Z')
            s[i] -= ('A' - 'a');
}
```



```
void lower2(char *s){
    size_t i;
    size_t len = strlen(s);
    for (i = 0; i<len; i++)
        if(s[i] >= 'A' && s[i] <= 'Z')
            s[i] -= ('A' - 'a');
}
```



思考

- 为什么编译器不能将`strlen`自动优化出循环？
 - 过程调用的副作用
 - 全局变量
 - 每次调用返回值不一定一样
- 编译器一般会将其作为黑盒处理
 - Linking决定具体函数调用的实现
- 其他措施
 - Inline functions
 - 更好的coding

```
void lower1(char *s){  
    size_t i;  
    for (i = 0; i < strlen(s); i++)  
        if(s[i] >= 'A' && s[i] <= 'Z')  
            s[i] -= ('A' - 'a');  
}
```

示例程序2.2

```
long f();
```

```
long func1(){  
    return f() + f() + f() + f();  
}
```

```
long func2(){  
    return 4 * f();  
}
```

```
func2:  
endbr64  
subq    $8, %rsp  
xorl    %eax, %eax  
call    f@PLT  
addq    $8, %rsp  
salq    $2, %rax  
ret
```

```
func1:  
endbr64  
pushq   %rbx  
xorl    %eax, %eax  
call    f@PLT  
movq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rbx, %rax  
popq    %rbx  
ret
```

为了safe地优化，编译器考虑函数调用
可能存在副作用（side effect）

示例程序2.2

```
long f();
```

```
long func1(){  
    return f() + f() + f() + f();  
}
```

counter = 6

```
long func2(){  
    return 4 * f();  
}
```

counter = 0

```
func2:  
endbr64  
subq    $8, %rsp  
xorl    %eax, %eax  
call    f@PLT  
addq    $8, %rsp  
salq    $2, %rax  
ret
```

```
func1:  
endbr64  
pushq   %rbx  
xorl    %eax, %eax  
call    f@PLT  
movq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rax, %rbx  
xorl    %eax, %eax  
call    f@PLT  
addq    %rbx, %rax  
popq    %rbx  
ret
```

```
long counter = 0;  
long f(){  
    return counter ++;  
}
```


Inlin

`-findirect-inlining`

Inline also indirect calls that are discovered to be known at compile time thanks to previous inlining. This option has any effect only when inlining itself is turned on by the `'-finline-functions'` or `'-finline-small-functions'` options.

Enabled at levels `'-O2'`, `'-O3'`, `'-Os'`.

long

```
long func1(){  
    return f() + f() + f() + f();  
}
```

```
long func2(){  
    return 4 * f();  
}
```

```
long func1in(){  
    long t = counter ++;  
    t += counter ++;  
    t += counter ++;  
    t += counter ++;  
    return t;  
}
```

```
long func1opt(){  
    long t = 4 * counter + 6;  
    return t;  
}
```

\$

Inline substitution

gcc -O0 a.c

gcc -O1 a.c

```
long f();
```

```
long func1(){  
    return f() + f() + f() + f();  
}
```

```
long func2(){  
    return 4 * f();  
}
```

gcc -O2 a.c

```
0000000000000000 <func1>:  
 0:  f3 0f 1e fa                endbr64  
 4:  55                        push    %rbp  
 5:  48 89 e5                  mov     %rsp,%rbp  
 8:  53                        push    %rbx  
 9:  48 83 ec 08              sub     $0x8,%rsp  
 d:  b8 00 00 00 00          mov     $0x0,%eax  
12:  e8 00 00 00 00          call   17 <func1+0x17>  
17:  48 89 c3                  mov     %rax,%rbx  
1a:  b8 00 00 00 00          mov     $0x0,%eax  
1f:  e8 00 00 00 00          call   24 <func1+0x24>  
24:  48 01 c3                  add     %rax,%rbx  
27:  b8 00 00 00 00          mov     $0x0,%eax  
2c:  e8 00 00 00 00          call   31 <func1+0x31>  
31:  48 01 c3                  add     %rax,%rbx  
34:  b8 00 00 00 00          mov     $0x0,%eax  
39:  e8 00 00 00 00          call   3e <func1+0x3e>  
3e:  48 01 d8                  add     %rbx,%rax  
41:  48 8b 5d f8              mov     -0x8(%rbp),%rbx  
45:  c9                        leave  
46:  c3                        ret
```

```
0000000000000000 <func1>:  
 0:  f3 0f 1e fa                endbr64  
 4:  48 8b 05 00 00 00 00    mov     0x0(%rip),%rax        # b <func1+0xb>  
 b:  48 8d 50 04              lea     0x4(%rax),%rdx  
 f:  48 8d 04 85 06 00 00    lea     0x6(,%rax,4),%rax  
16:  00  
17:  48 89 15 00 00 00 00    mov     %rdx,0x0(%rip)        # 1e <func1+0x1e>  
1e:  c3                        ret  
1f:  90                        nop
```

```
$ gcc -O2 -c demo2.c
```

Inline substitution

```
long f();
```

```
long func1(){  
    return f() + f() + f() + f();  
}
```

```
long func2(){  
    return 4 * f();  
}
```

gcc -O0 a.c

gcc -O1 a.c

gcc -O2 a.c -fno-inline

```
0000000000000000 <func1>:  
0:                                       
4:                                       
5:  48 89 e5                          mov     %rsp,%rbp  
8:  53                                push    %rbx  
9:  48 83 ec 08                        sub     $0x8,%rsp  
d:  b8 00 00 00 00                    mov     $0x0,%eax  
12: e8 00 00 00 00                    call    17 <func1+0x17>  
17: 48 89 c3                          mov     %rax,%rbx  
1a: b8 00 00 00 00                    mov     $0x0,%eax  
1f: e8 00 00 00 00                    call    24 <func1+0x24>  
24: 48 01 c3                          add     %rax,%rbx  
27: b8 00 00 00 00                    mov     $0x0,%eax  
2c: e8 00 00 00 00                    call    31 <func1+0x31>  
31: 48 01 c3                          add     %rax,%rbx  
34: b8 00 00 00 00                    mov     $0x0,%eax  
39: e8 00 00 00 00                    call    3e <func1+0x3e>  
3e: 48 01 d8                          add     %rbx,%rax  
41: 48 8b 5d f8                        mov     -0x8(%rbp),%rbx  
45:  c9                                leave  
46:  c3                                ret
```

gcc -O2 a.c

```
0000000000000000 <func1>:  
0:  f3 0f 1e fa                        endbr64  
4:  48 8b 05 00 00 00 00              mov     0x0(%rip),%rax        # b <func1+0xb>  
b:  48 8d 50 04                        lea     0x4(%rax),%rdx  
f:  48 8d 04 85 06 00 00              lea     0x6(,%rax,4),%rax  
16:  00  
17:  48 89 15 00 00 00 00              mov     %rdx,0x0(%rip)      # 1e <func1+0x1e>  
1e:  c3                                ret  
1f:  90                                nop
```

```
1 #include <stdio.h>
2 long counter = 0;
3 static inline long f(){ return counter ++;
4 }
5
6 long func1(){
7     return f() + f() + f() + f();
8 }
9
10 long func2(){
11     return 4 * f();
12 }
13 int main(){
14     printf("%ld \n", func1());
15 }
16 }
```

~/Documents/ICS2021/teach/Course17/demo2.c[1]

[c] unix utf-8 Ln 1, Col 1/16

```
1 #include <stdio.h>
2 long counter = 0;
3 static inline long f(){ return counter ++;
4 }
5
6 long func1(){
7     return f() + f() + f() + f();
8 }
9
10 long func2(){
11     return 4 * f();
12 }
13 int main(){
14     printf("%ld \n", func1());
15
16 }
```

~/Documents/ICS2021/teach/Course17/demo2.c[1]

[c] unix utf-8 Ln 1, Col 1/16

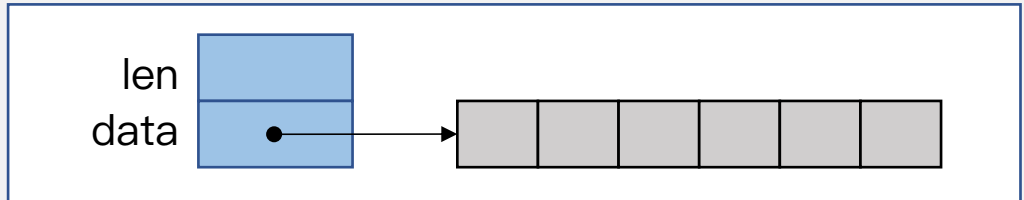
1 change; before #1 3 seconds ago

Optimization blocker: function call

- Function call
 - 过程调用的副作用
 - 全局变量
 - 每次调用返回值不一定一样
- 编译器一般会将其作为黑盒处理
 - Linking决定具体函数调用的实现
- 其他措施
 - Inline functions
 - 更好的coding

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



```
typedef long data_t;
```

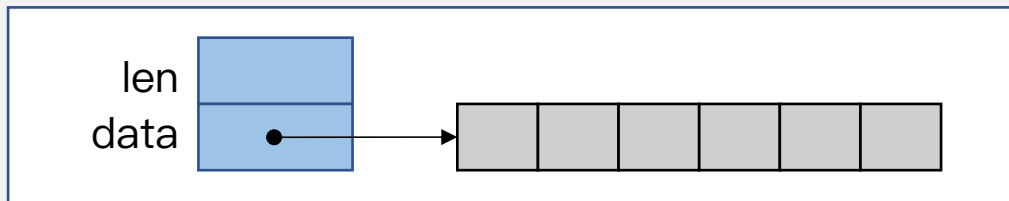
```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    *dest = 0;  
    for (i = 0; i < vec_length(v); i++){  
        data_t val;  
        get_val_element(v, i, &val);  
        *dest = *dest + val;  
    }  
}
```

1

- 消除循环低效

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



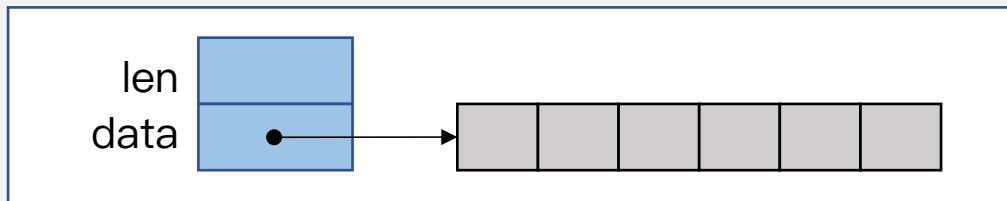
```
typedef long data_t;
```

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    *dest = 0;  
    for (i = 0; i < length; i++){  
        data_t val;  
        get_val_element(v, i, &val);  
        *dest = *dest + val;  
    }  
}
```

- 消除循环低效

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



```
typedef long data_t;
```

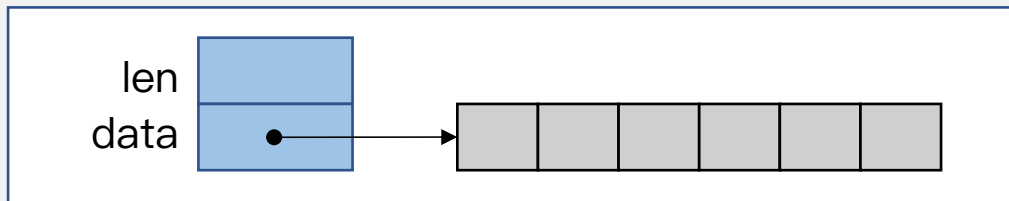
```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    *dest = 0;  
    for (i = 0; i < length; i++){  
        data_t val;  
        get_val_element(v, i, &val);  
        *dest = *dest + val;  
    }  
}
```

2

- 消除循环低效
- 减少函数调用

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



```
typedef long data_t;
```

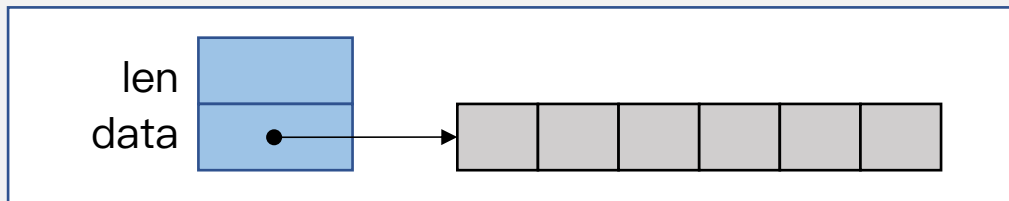
```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    *dest = 0;  
    for (i = 0; i < length; i++){  
        *dest = *dest + data[i];  
    }  
}
```

2

- 消除循环低效
- 减少函数调用

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



```
typedef long data_t;
```

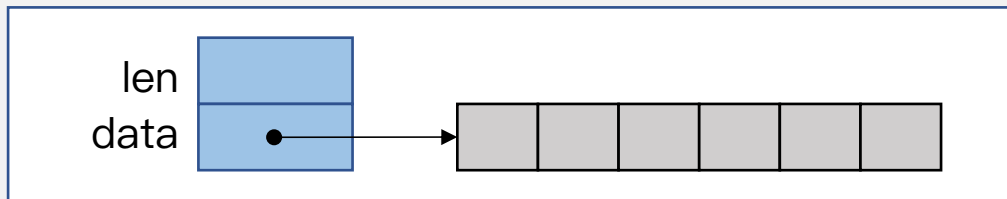
```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    *dest = 0;  
    for (i = 0; i < length; i++){  
        *dest = *dest + data[i];  
    }  
}
```

```
.L3:  
    movq    (%rax), %rdx  
    addq    %rdx, (%rbx)  
    addq    $8, %rax  
    cmpq    %rcx, %rax  
    jne     .L3
```

- 消除循环低效
- 减少函数调用
- 减少无需的内存访问

示例程序3

```
typedef struct{  
    long len;  
    data_t *data;  
} vec_rec, *vec_ptr;
```



```
typedef long data_t;
```

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    data_t acc = 0;  
    for (i = 0; i < length; i++){  
        acc = acc + data[i];  
    }  
    *dest = acc;  
}
```

```
.L3:  
    addq    (%rax), %rdx  
    addq    $8, %rax  
    cmpq    %rcx, %rax  
    jne     .L3
```

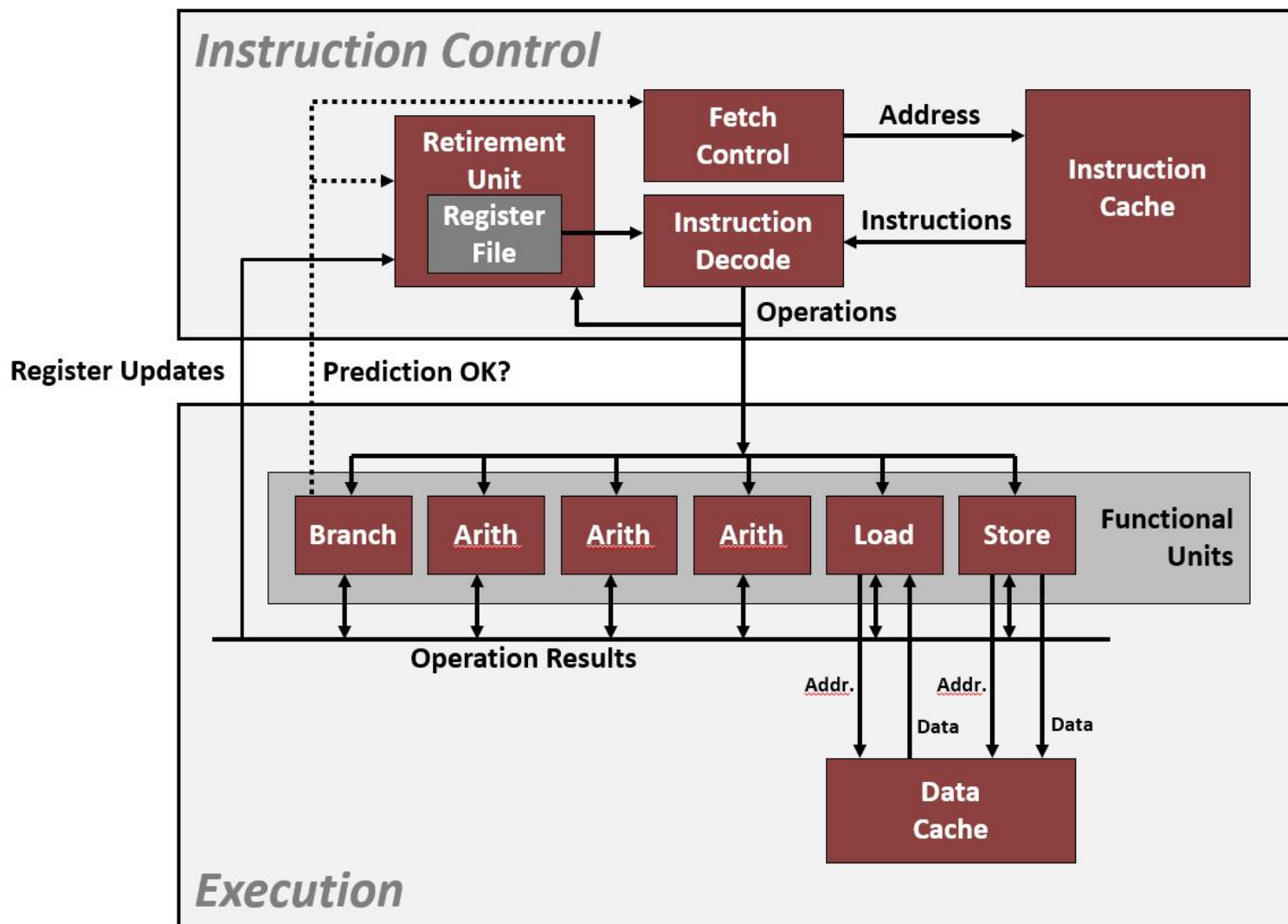
- 消除循环低效
- 减少函数调用
- 减少无需的内存访问

3

我们能做的事情

- 简单的优化treatment
 - 养成习惯是重要的
- 编写能够被编译器有效优化并转化为高效的可执行代码的源码
 - 理解编译器的优化痛点
 - 编译器优化前提是*safe*
 - 编写适合编译优化的源码
 - Memory aliasing
 - Function call

现代处理器

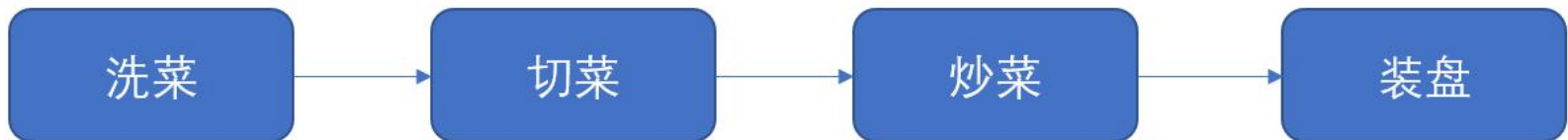


From CMU CSAPP Course

Pipeline

- CPU中有一条以上的流水线
 - 每时钟周期内可以完成一条以上的指令

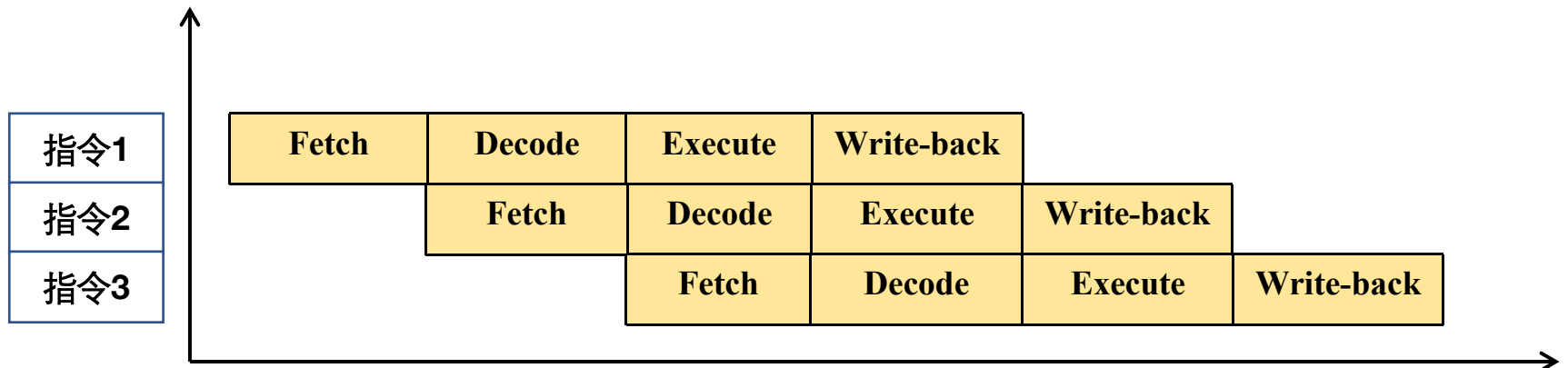
```
long mult_eq(long a, long b, long c){  
    long p1 = a * b;  
    long p2 = a * c;  
    long p3 = p1 * p2;  
    return p3;  
}
```



Pipeline

- CPU中有一条以上的流水线
 - 每时钟周期内可以完成一条以上的指令

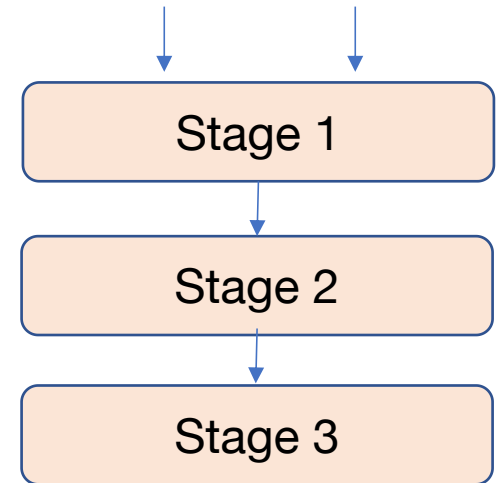
```
long mult_eq(long a, long b, long c){  
    long p1 = a * b;  
    long p2 = a * c;  
    long p3 = p1 * p2;  
    return p3;  
}
```



Pipeline

- CPU中有一条以上的流水线
 - 每时钟周期内可以完成一条以上的指令

```
long mult_eq(long a, long b, long c){  
    long p1 = a * b;  
    long p2 = a * c;  
    long p3 = p1 * p2;  
    return p3;  
}
```



	Time						
	1	2	3	4	5	6	7
Stage 1	a*b	a*c			p1*p2		
Stage 2		a*b	a*c			p1*p2	
Stage 3			a*b	a*c			p1*p2

超标量处理器

Superscalar Issue (Pentium)

Cycle	1	2	3	4	5	6	7	8	9
Instr ₁	Fetch	Decode	Execute			Write			
Instr ₂	Fetch	Decode	Wait			Execute	Write		
Instr ₃		Fetch	Decode	Execute	Write				
Instr ₄		Fetch	Decode	Wait			Execute	Write	
Instr ₅			Fetch	Decode	Execute	Write			
Instr ₆			Fetch	Decode	Execute	Write			
Instr ₇				Fetch	Decode	Execute	Write		
Instr ₈				Fetch	Decode	Execute	Write		

- Superscalar issue allows multiple instructions to be issued at the same time

分支预测

- 预测分支的跳转

```
404663: mov $0x0, %eax  
404668: cmp (%rdi), %rsi  
40466b: jge 404685  
40466d: mov 0x8 (%rdi), %rax  
.....  
404685: repz retq
```

} Executing

← How to continue?

- 本质：猜测并预测分支的走向
- [java - Why is processing a sorted array faster than processing an unsorted array? - Stack Overflow](#)

Likely and unlikely in Linux Kernel

- `_builtin_expect`由gcc 引入
 - 该函数作用是允许程序员将最有可能执行的分支告诉编译器，来辅助系统进行分支预测

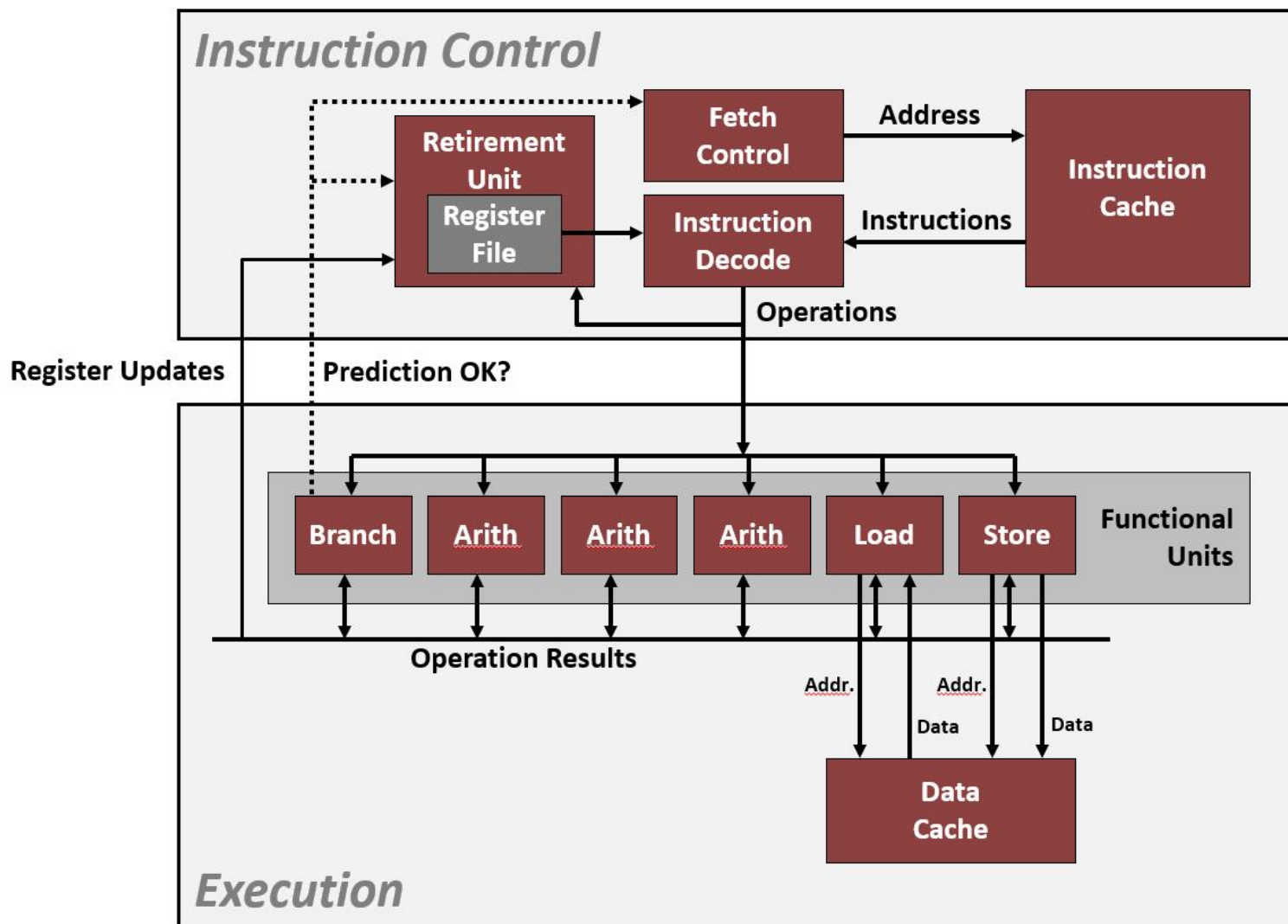
```
//ip 层收到消息后，如果是tcp就调用tcp_v4_rcv作为tcp协议的入口
int tcp_v4_rcv(struct sk_buff *skb)
{
    ...

    if (unlikely(th->doff < sizeof(struct tcphdr) / 4))
        goto bad_packet; // 概率很小
    if (!pskb_may_pull(skb, th->doff * 4))
        goto discard_it;

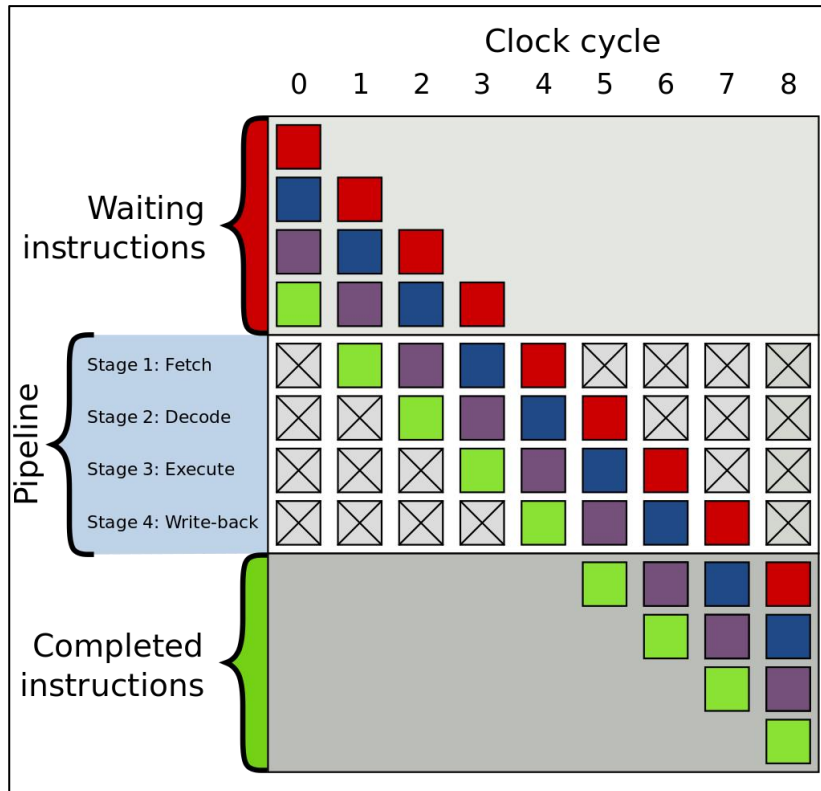
//file: net/ipv4/tcp_input.c
int tcp_rcv_established(struct sock *sk, ...)
{
    if (unlikely(sk->sk_rx_dst == NULL))
        .....
}

//file: include/linux/compiler.h
#define likely(x)    __builtin_expect(!!(x),1)
#define unlikely(x) __builtin_expect(!!(x),0)
```

现代处理器



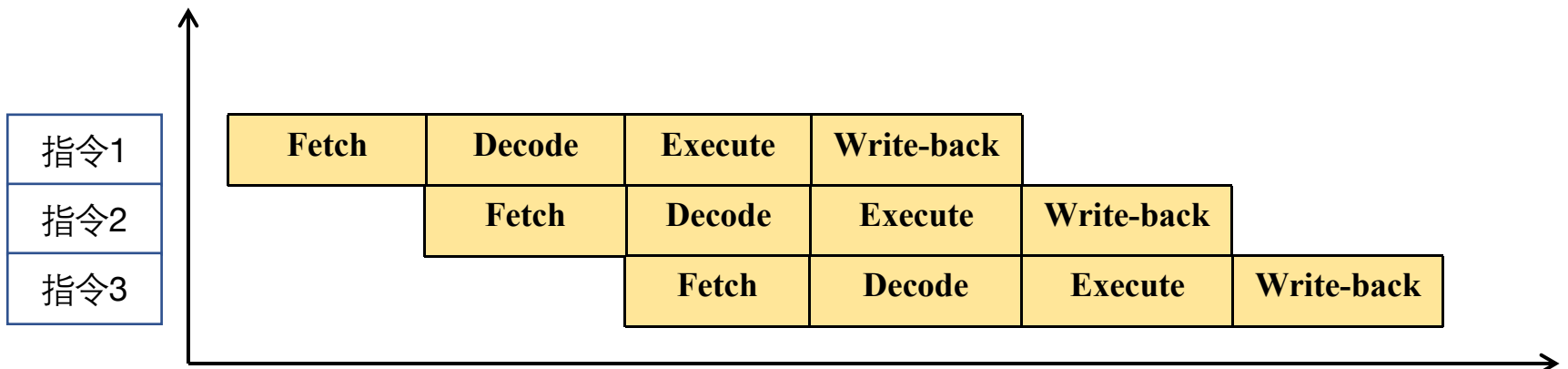
From CMU CSAPP Course



```

int data[N];
int sum = 0;
for (int i = 0; i < N; i++){
    if(data[i] < 128)
        sum += data[i];
}

```



GCC 4.6.1 with -O3 or -ftree-vectorize on x64 no difference between the sorted and unsorted data (both are fast)

```
int t = (data[i]-128)>>31;
sum+=~t & data[c];
```

```
int data[N];
int sum = 0;
for (int i = 0; i<N; i++){
    if(data[i] < 128)
        sum += data[i];
}
```

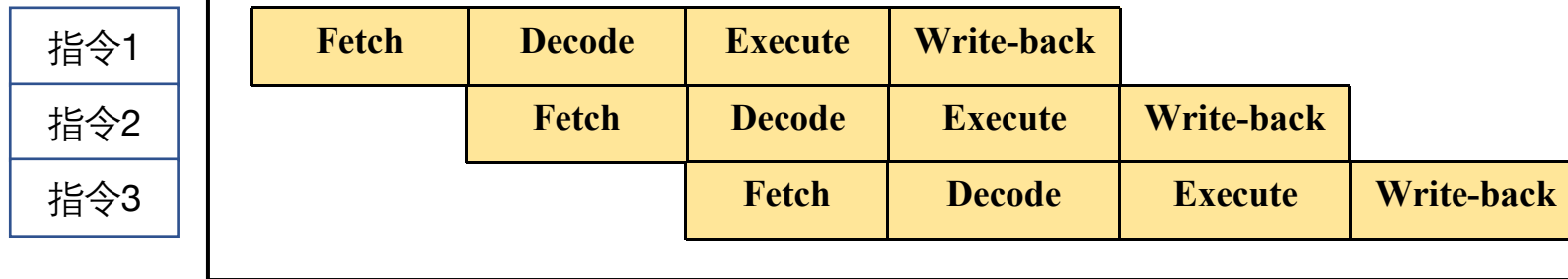
Sorted:

```
data[] = 226, 185, 125, 158, 198, 144, 217, 79, 202, 118, 14, 150, 177, 182, ..
branch = T, T, N, T, T, T, T, N, T, N, N, T, T, T ..
= TTNTTTTNTNNTTT ... (completely random - impossible to predict)
```

Unsorted:

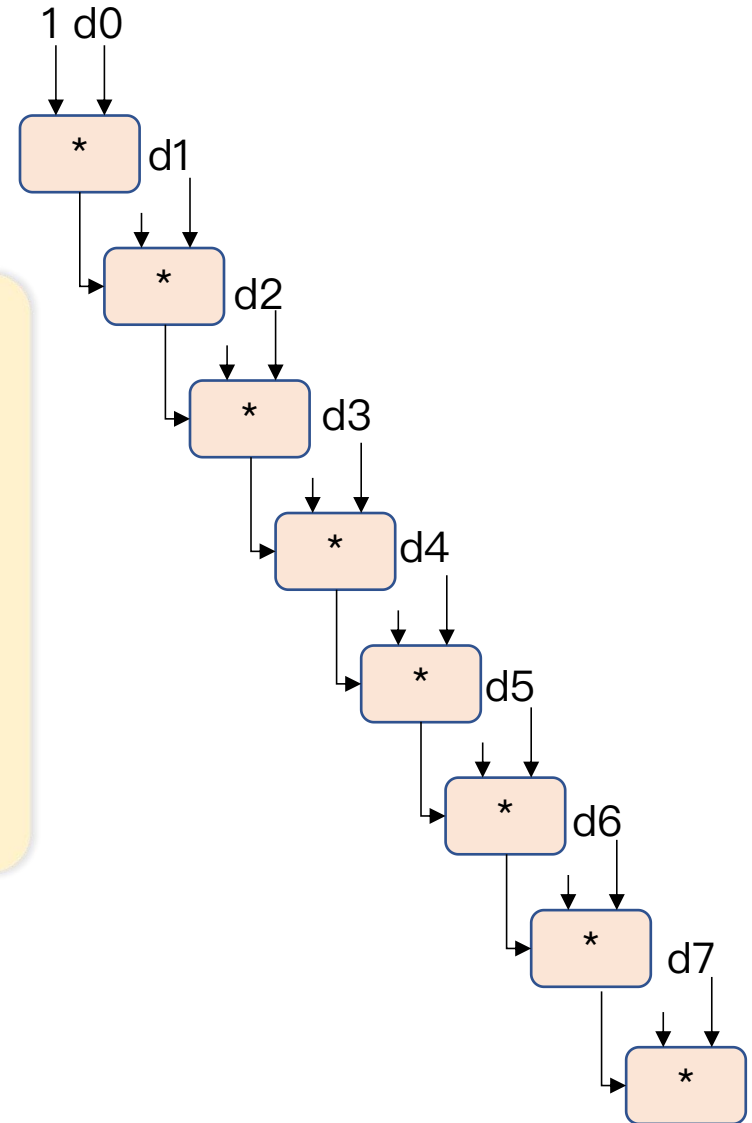
```
T = branch taken
N = branch not taken

data[] = 0, 1, 2, 3, 4, ... 126, 127, 128, 129, 130, ... 250, 251, 252, ...
branch = N N N N N ... N N T T T ... T T T ...
= NNNNNNNNNNNN ... NNNNNNTTTTTTTTTT ... TTTTTTTTTT (easy to predict)
```



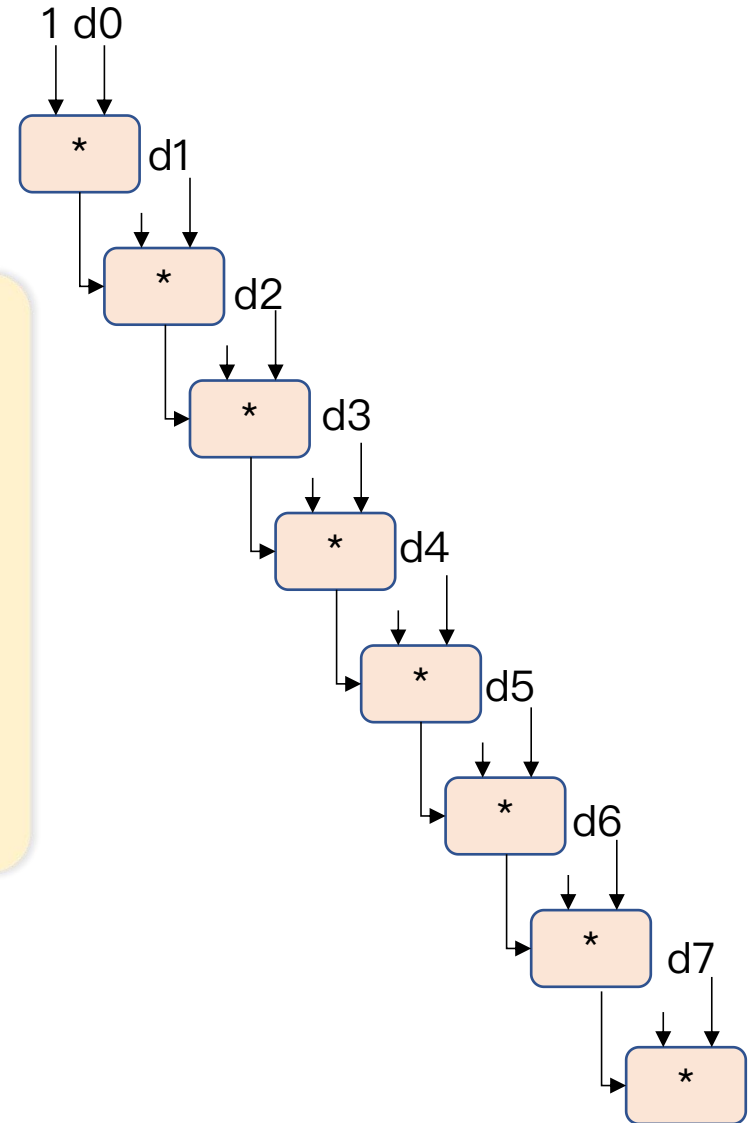
考虑指令并行的优化

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    data_t acc = IDENT; //0 for +, 1 for *  
    for (i =0; i < length; i++){  
        acc = acc OP data[i];  
    }  
    *dest = acc;  
}
```



循环展开1

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    data_t acc = IDENT; //0 for +, 1 for *  
    for (i =0; i < length; i+=2){  
        acc = (acc OP data[i]) OP data[i+1];  
    }  
    *dest = acc;  
}
```

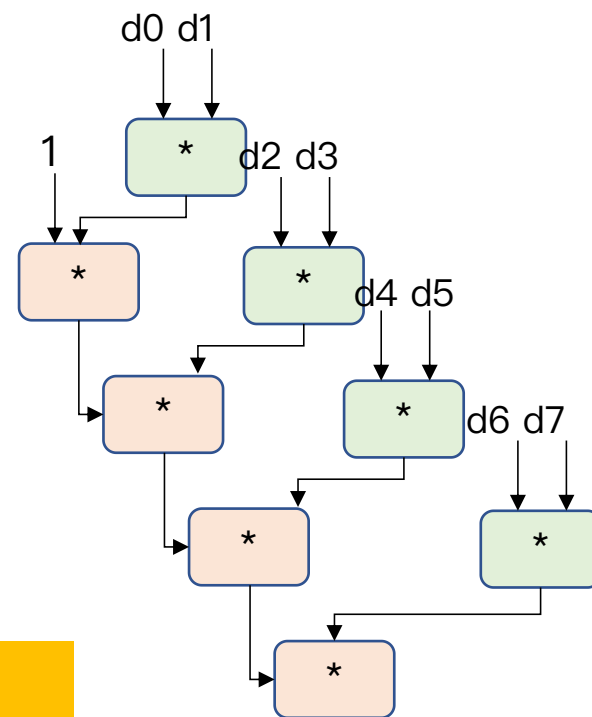


循环展开2

- 打破指令内在的顺序依赖关系

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    data_t *data = get_vec_start(v);  
    data_t acc = IDENT; //0 for +, 1 for *  
    for (i =0; i < length; i+=2){  
        acc = (acc OP data[i]) OP data[i+1];  
    }  
    *dest = acc;  
}
```

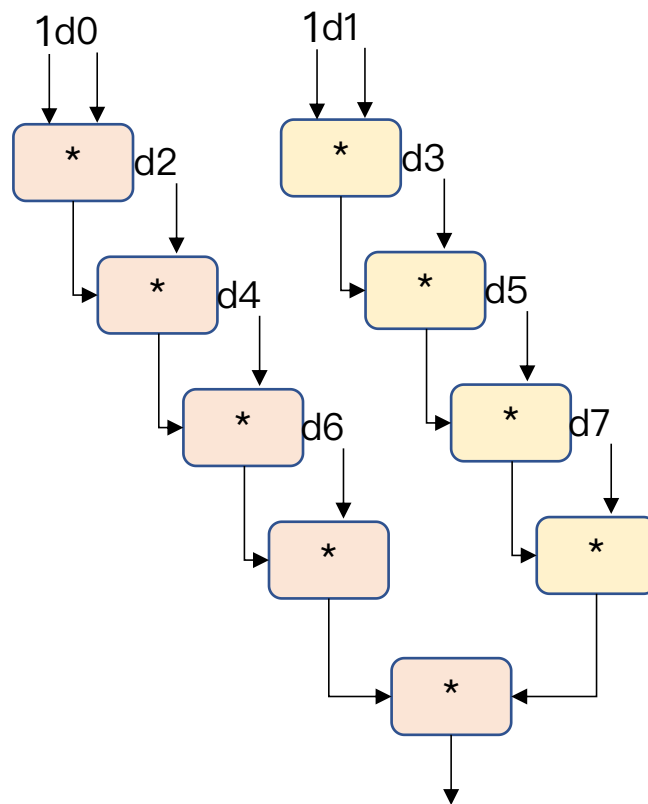
acc = acc OP (data[i] OP data[i+1]);



循环展开3-multiple accumulator

- 打破指令内在的顺序依赖关系

```
void combine1 (vec_ptr v, data_t *dest){  
    long i;  
    long length = vec_length(v);  
    long limit = length - 1;  
    data_t *data = get_vec_start(v);  
    data_t acc = IDENT; //0 for +, 1 for *  
    //combine 2 elements at a time  
    for (i=0; i < limit; i+=2){  
        x0 = x0 OP data[i];  
        x1 = x1 OP data[i+1];  
    }  
    //finish any remaining element  
    for(; i < length; i++)  
        x0 = x0 OP data[i];  
    *dest = x0 OP x1;  
}
```



循环展开的思想

- 尝试通过控制参数，接近吞吐量的最优化
 - Unrolling
 - Accumulating

```
for (i = 0; i < limit; i += 2){  
    x0 = x0 OP data[i];  
    x1 = x1 OP data[i+1];  
}
```

性能优化方法: 拍脑袋 vs. 方法论

• Lab2

Lab2: Optimization for PA2 (PA2+性能优化实验)

小实验 (Labs) 是 ICS 这门课程里的一些小练习题, 旨在结合课堂知识解决一些实际PA中的问题。PA本身以外的实验也依旧存在很多值得研究并付出努力的地方。这些练习也能够有效帮助大家在完成PA过程中写出更优的实现。

- Deadline: 2025年11月30日 23:59:59

1. 背景

性能调优是系统编程的一个重要组成部分。例如同学们在遇到“Online Judge 提交 TLE”以后, 如果排除了死循环等逻辑问题, 并且坚持算法/数据结构没有选错, 就要开始性能调优。根据我们的了解, 大部分同学先前性能调优的方法是“随机调优法”:

1. 观察程序, 看到一些可能的性能优化点 (例如找到一个 `i *= 2`);
2. 对程序进行一些功能等价的修改 (例如改成 `i <= 1`);
3. 运行程序, 观察是否有显著的性能提升。

但这种方式显然是不科学、不合理的, 例如上面的修改以很大的概率不会对程序的性能有可见的影响。在这个实验中, 写出更快的代码不是目的, 而是帮助大家熟悉性能分析的工具, 然后做出针对性的优化。正如 D. E. Knuth 所说, “Premature optimization is the root of all evil”。

- 如何在一个复杂系统里面优化性能, 是一个正经的科学问题
 - 是科学问题, 就有科学的方法
 - 评估当前的性能
 - 寻找性能瓶颈
 - 采用合适的优化方法
- 评估优化后的性能, 对比获得的性能提升是否符合预期

往年的性能调优Lab

- 实验要求：对给定源码，通过perf工具定位其性能瓶颈，并修改源码使其保持功能一致前提下，实现10倍以上性能提升。
 - 给定源码为一份 Eratosthenes 筛法求 $2, 3, \dots, l$ 中素数的参考代码

```
// 初始时, is_prime[] 为 true
for (int i = 2; i <= n; i++) {
    for (int j = i + i; j <= n; j += i) {
        is_prime[j] = false;
    }
}
```

优化要求:

- 允许修改数据结构的定义和算法
- 在编译时会开启 **-O1 优化开关**。除了一些较为激进的优化(如循环展开等)外，编译器依然会尽可能地分析数据流、内联函数等方式优化代码，例如 `-fdefer-pop`, `-finline-functions-called-once` 等
- 允许做一些预处理，以及**鼓励使用预处理**，但限制 `sieve.c` 的大小不能超过 4096 字节
- 实际运行的测试用例中， **n 小于 10^7** 因此不必修改 `n` 的定义

性能评估第一步：选benchmark

- 拍脑袋 = 凭直觉, 科学方法 = 量化分析
 - 用数据说话是基本素养
 - 需要一个量化的指标来衡量“性能”
- 性能高 = 跑得快 $\approx$ 程序的执行时间!
 - 现实中情况有很多, 要评估所有情况是不现实的
- 需要跑有代表性的输入 - 越能代表真实的应用场景, 就越合适
 - 代表性 = 优化技术在这些输入上带来的性能收益, 与真实应用场景中的性能收益趋势基本一致
 - 不同的应用场景 -> 性能收益的趋势不同 -> 需要不同的代表性输入 -> 不同的benchmark

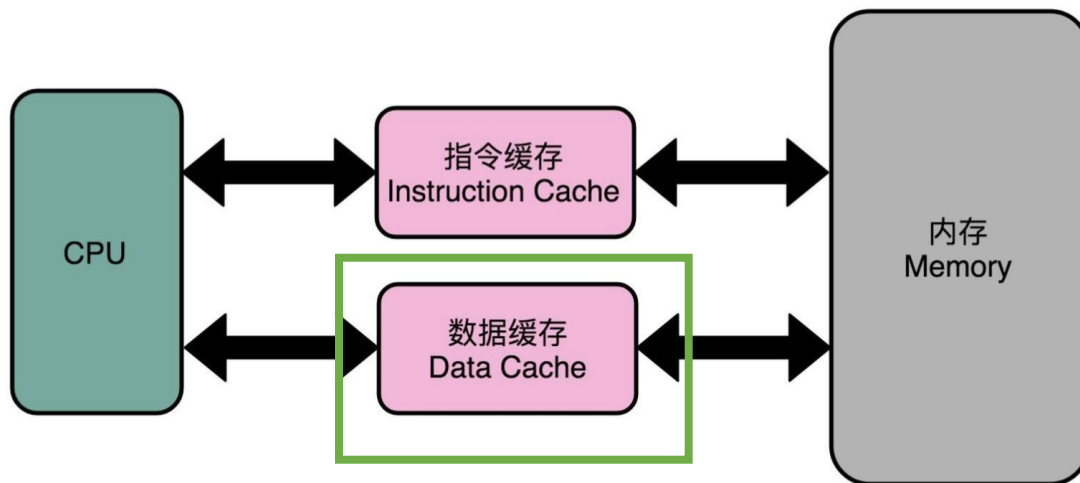
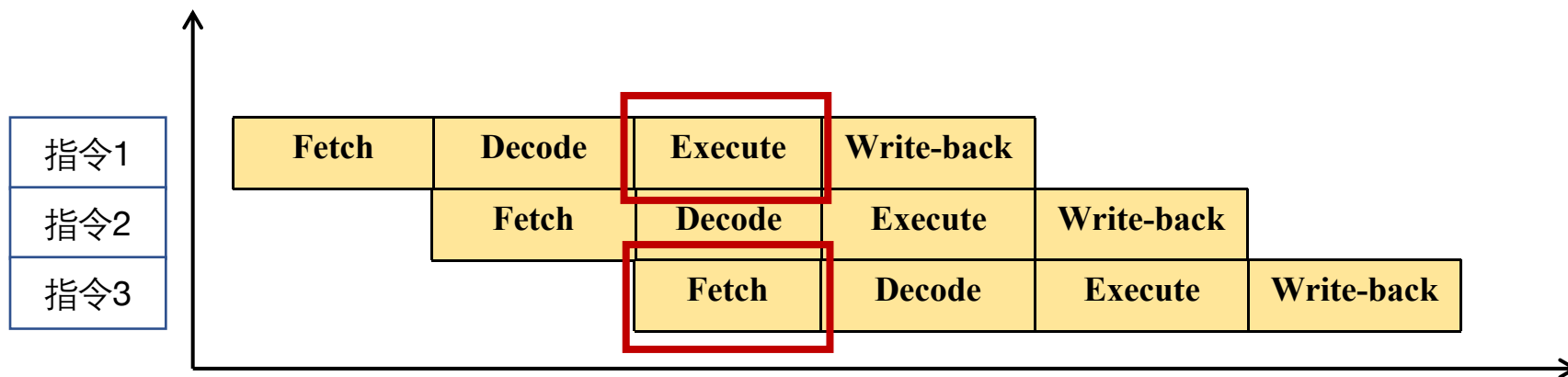
如何优化运行时间？

- 需要分析运行时间受哪些因素影响

$$\text{perf} = \frac{\text{time}}{\text{prog}} = \frac{\text{inst}}{\text{prog}} \cdot \frac{\text{cycle}}{\text{inst}} \cdot \frac{\text{time}}{\text{cycle}}$$

- 减少 inst/prog : 即减少执行的指令数, 编译阶段
 - 优化措施: 改进算法, 编译优化
- 减少 cycle/inst : 即减少 CPU 等待空转的周期, 运行阶段
 - 优化措施: CPU 友好的代码, 避免等待, 换更好的 CPU
- 减少 time/cycle : 与 CPU 主频强相关
 - 优化措施: 换更好的 CPU

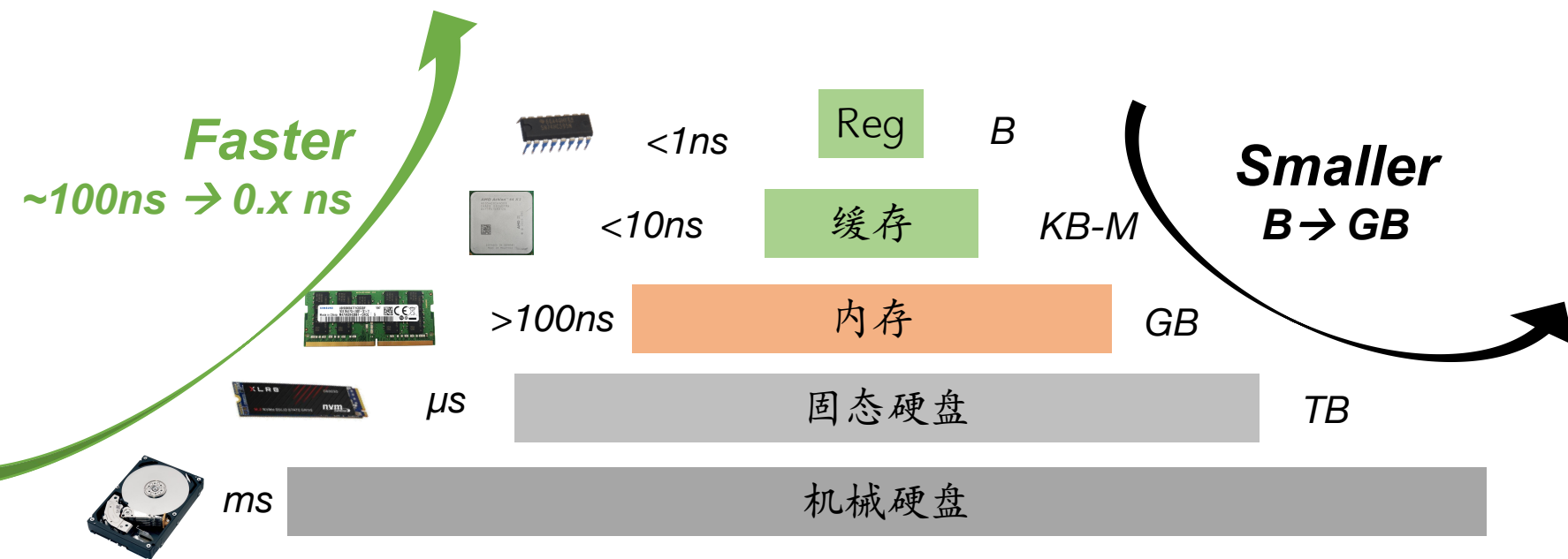
Cache



现代CPU的混合架构

存储架构

- 性能优化：尽可能极大利用越高速的存储空间
 - 缓存命中，寄存器使用



局部性原理

- 时间局部性 (temporal locality)
 - 如果程序引用一个数据一次，则很可能在不久的将来再次引用该数据
 - Guideline: 保持经常访问的数据（如循环中的计数器或频繁查询的状态变量）在寄存器中，以减少对缓存或主存的访问
- 空间局部性 (spatial locality)
 - 如果程序引用一个数据，则很可能在不久的将来也会引用附近的数据
 - Guideline: 程序应尽可能将相关数据紧凑存储在一起
- E.g., bitset, register

如何量化数据？

- 统计CPU在各个阶段耗费的时间：perf
 - 基于事件采样的原理，以性能事件为基础，支持针对处理器相关性能指标与操作系统 相关性能指标的性能剖析，可用于性能瓶颈的查找与热点代码的定位

```
1,012,981,908      cycles
751,436,010       instructions
13,248,364        L1-dcache-loads
92,143,312        L1-dcache-load-misses
877,867           LLC-loads
11,988            LLC-load-misses

0.257386618 seconds time elapsed

236.37 msec task-clock          # 0.998 CPUs utilized
      0      context-switches   # 0.000 /sec
      0      cpu-migrations     # 0.000 /sec
    3,150    page-faults        # 13.327 K/sec
937,451,729    cycles           # 3.966 GHz
747,161,568    instructions     # 0.80  insn per cycle
196,839,478    branches         # 832.768 M/sec
 940,069      branch-misses     # 0.48% of all branches
```

寻找性能瓶颈

- 性能瓶颈究竟在哪里？哪些优化工作是值得做的？优化工作的预期性能收益是多少？
- Amdahl's law可以告诉我们答案：

The overall performance improvement gained by optimizing a single part of a system is limited by the fraction of time that the improved part is actually used.

- 优化系统中某部分所获得的总体性能收益, 受限于那部分实际使用的时间占比.
- 公式表示: 假设系统某部分实际使用的时间占比是 p , 该部分在优化后的加速比是 s , 则整个系统的加速比为 $f(s) = 1 / (1 - p + p / s)$
 - 某程序的运行过程分为独立的两部分A和B, 其中A占80%, B占20%
 - 若将B优化5倍, 则加速比是 $1/(0.8+0.2/5)=1.1905$ 若将A优化2倍, 则加速比是 $1/(0.2+0.8/2)=1.6667$

往年的性能优化实验Lab3

- 实验要求：对给定源码，通过perf工具定位其性能瓶颈，并修改源码使其保持功能一致前提下，实现10倍以上性能提升。
 - 给定源码为一份 Eratosthenes 筛法求 $2, 3, \dots, l$ 中素数的参考代码

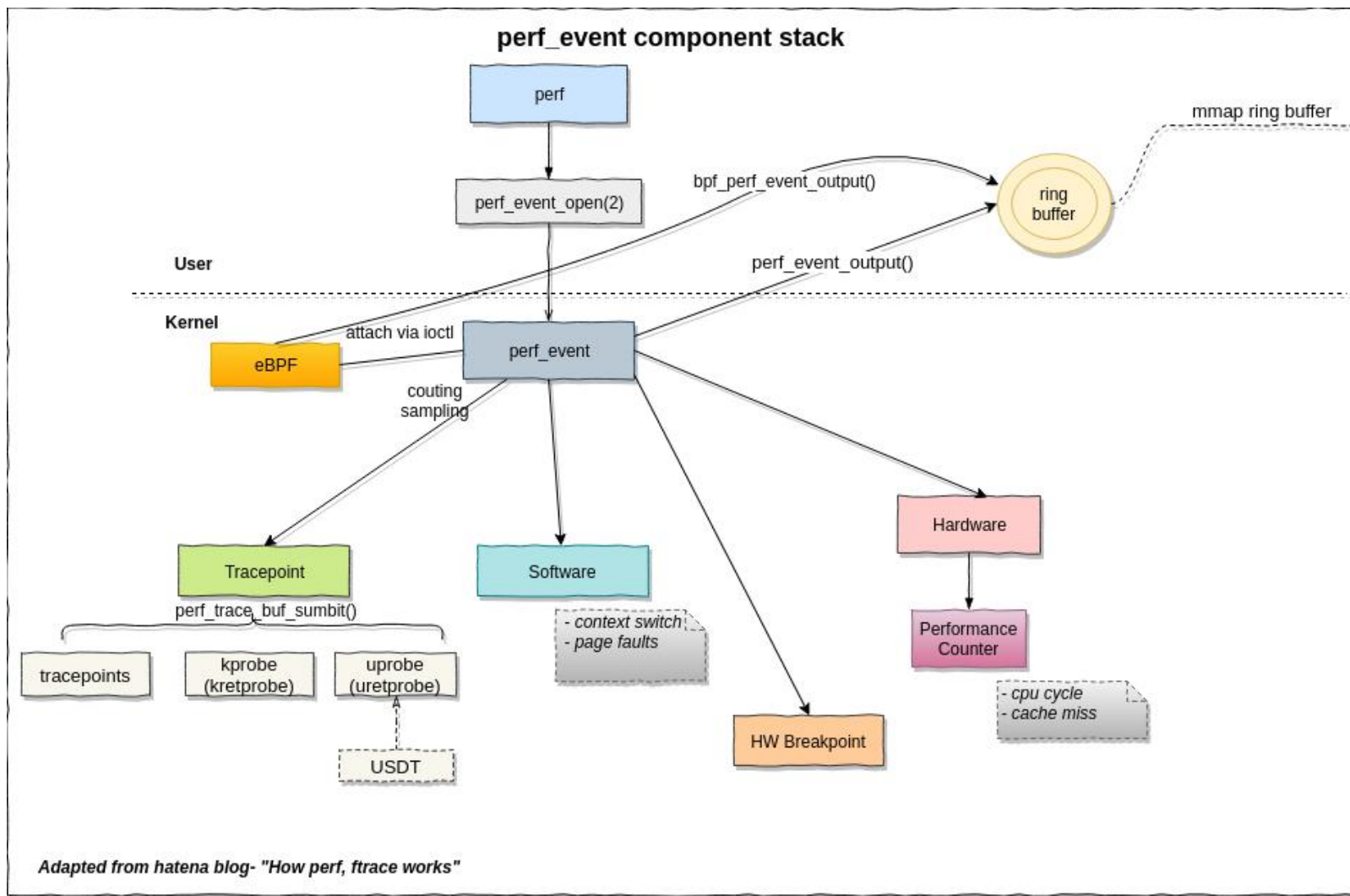
```
// 初始时, is_prime[] 为 true
for (int i = 2; i <= n; i++) {
    for (int j = i + i; j <= n; j += i) {
        is_prime[j] = false;
    }
}
```

优化要求:

- 允许修改数据结构的定义和算法
- 在编译时会开启 **-O1 优化开关**。除了一些较为激进的优化(如循环展开等)外，编译器依然会尽可能地分析数据流、内联函数等方式优化代码，例如 `-fdefer-pop`, `-finline-functions-called-once` 等
- 允许做一些预处理，以及**鼓励使用预处理**，但限制 `sieve.c` 的大小不能超过 4096 字节
- 实际运行的测试用例中， **n 小于 10^7** 因此不必修改 `n` 的定义

Linux Perf

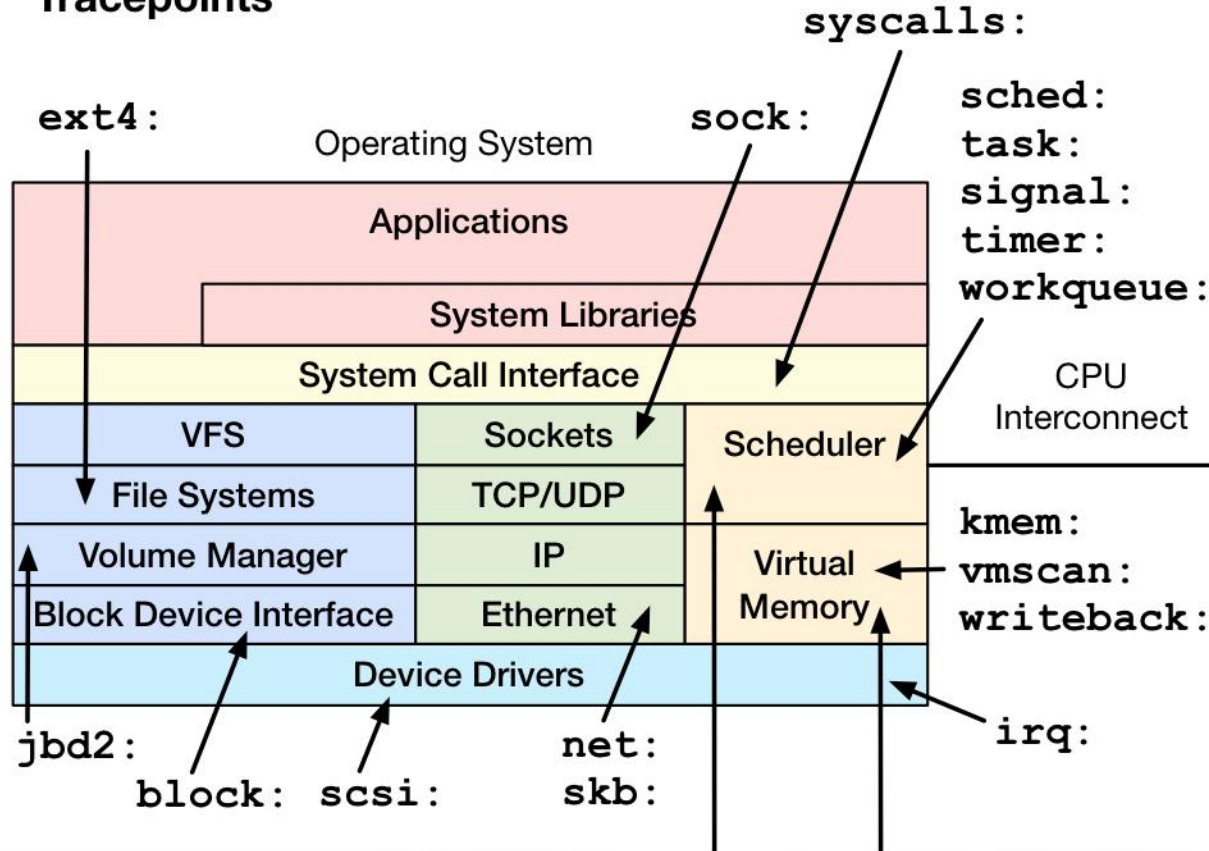
- 基于事件采样的原理，以性能事件为基础，支持针对处理器相关性能指标与操作系统相关性能指标的性能剖析，可用于性能瓶颈的查找与热点代码的定位



Linux perf_events Event Sources

Dynamic Tracing

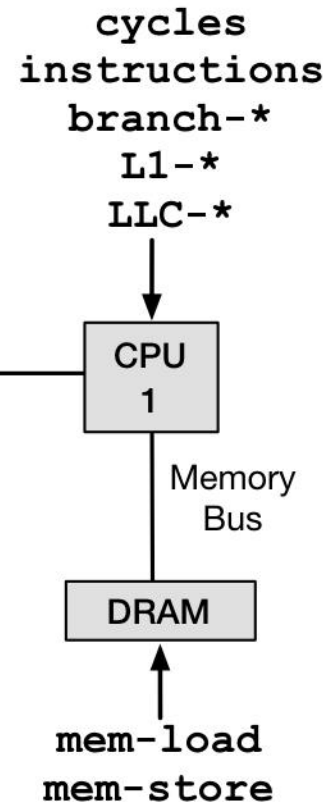
Tracepoints



Software Events

cpu-clock
cs migrations
page-faults
minor-faults
major-faults

PMCs



如何发现性能瓶颈？

• Perf工具

\$man perf

\$perf record
\$perf report
\$perf annotate
\$perf stat

```
PERF(1)
NAME
    perf - Performance analysis tools

SYNOPSIS
    perf [--version] [--help] [OPTIONS]

OPTIONS
    -h, --help
        Run perf help command.

    -v, --version
        Display perf version.

    -vv
        Print the compiled-in status of

    --exec-path
        Display or set exec path.

    --html-path
        Display html documentation path

    -p, --paginate
        Set up pager.

    --no-pager
        Do not set pager.

    --buildid-dir
        Setup buildid cache directory.

    --list-cmds
        List the most commonly used per

    --list-opts
        List available perf options.

The most commonly used perf commands are:
    annotate
        Read perf.data (created by perf record) and display annotated code
    archive
        Create archive with object files with build-ids found in perf.data file
    bench
        General framework for benchmark suites
    buildid-cache
        Manage build-id cache.
    buildid-list
        List the buildids in a perf.data file
    c2c
        Shared Data C2C/HITM Analyzer.
    config
        Get and set variables in a configuration file.
    daemon
        Run record sessions on background
    data
        Data file related processing
    diff
        Read perf.data files and display the differential profile
    evlist
        List the event names in a perf.data file
    ftrace
        simple wrapper for kernel's ftrace functionality
    inject
        Filter to augment the events stream with additional information
    iostat
        Show I/O performance metrics
    kallsyms
        Searches running kernel for symbols
    kmem
        Tool to trace/measure kernel memory properties
    kvm
        Tool to trace/measure kvm guest os
    list
        List all symbolic event types
    lock
        Analyze lock events
    mem
        Profile memory accesses
    record
        Run a command and record its profile into perf.data
    report
        Read perf.data (created by perf record) and display the profile
    sched
        Tool to trace/measure scheduler properties (latencies)
    script
        Read perf.data (created by perf record) and display trace output
    stat
        Run a command and gather performance counter statistics
    test
        Runs sanity tests.
    timechart
        Tool to visualize total system behavior during a workload
    top
        System profiling tool.
    version
        display the version of perf binary
    probe
        Define new dynamic tracepoints
    trace
        strace inspired tool
```

回到Lab3

- 带着程序优化的思路，再看看这个程序

**\$perf
stat**

```
#define N 10000000
static bool is_prime[N];
static int primes[N];

int *sieve(int n) {
    assert(n + 1 < N);
    for (int i = 0; i <= n; i++)
        is_prime[i] = true;

    for (int i = 2; i <= n; i++) {
        for (int j = i + i; j <= n; j += i) {
            is_prime[j] = false;
        }
    }

    int *p = primes;
    for (int i = 2; i <= n; i++)
        if (is_prime[i]) {
            *p++ = i;
        }
    *p = 0;
    return primes;
}
```

Performance counter stats for './a.out':

236.37 msec	task-clock	#	0.998 CPUs utilized
0	context-switches	#	0.000 /sec
0	cpu-migrations	#	0.000 /sec
3,150	page-faults	#	13.327 K/sec
937,451,729	cycles	#	3.966 GHz
747,161,568	instructions	#	0.80 insn per cycle
196,839,478	branches	#	832.768 M/sec
940,069	branch-misses	#	0.48% of all branches

Performance counter stats for './a.out':

1,012,981,908	cycles
751,436,010	instructions
13,248,364	L1-dcache-loads
92,143,312	L1-dcache-load-misses
877,867	LLC-loads
11,988	LLC-load-misses
0.257386618 seconds time elapsed	
0.257039000 seconds user	
0.000000000 seconds sys	
#	0.74 insn per cycle (65.79%)
	(82.87%)
	(82.85%)

回到Lab3

\$perf record ./perftune && perf report

- 带着程序优化的思路，再看看

```
#define N 10000000
static bool is_prime[N];
static int primes[N];

int *sieve(int n) {
    assert(n + 1 < N);
    for (int i = 0; i <= n; i++)
        is_prime[i] = true;

    for (int i = 2; i <= n; i++) {
        for (int j = i + i; j <= n; j += i) {
            is_prime[j] = false;
        }
    }

    int *p = primes;
    for (int i = 2; i <= n; i++)
        if (is_prime[i]) {
            *p++ = i;
        }
    *p = 0;
    return primes;
}
```

```
Samples: 922 of event 'cycles', Event count (approx.): 931927645
Overhead Command Shared Object Symbol
98.54% a.out a.out [.] sieve
0.52% a.out [kernel.kallsyms] [k] __mod_node_page_state
0.28% a.out [kernel.kallsyms] [k] chacha_block_generic
0.11% a.out [kernel.kallsyms] [k] native_irq_return_iret
```

```
Samples: 922 of event 'cycles', 4000 Hz, Event count (approx.): 931927645
sieve /home/huiyan/Documents/Code/ics-workbench/perftune
Percent lea 0x1(%rax),%rdx
add %rcx,%rdx
test %edi,%edi
js 2c
0.84 20: movb $0x1, (%rax)
add $0x1,%rax
cmp %rdx,%rax
0.60 jne 20
2c: lea primes,%rdx
cmp $0x1,%edi
jle bf
lea 0x1(%rdi),%r8d
mov $0x2,%edx
mov $0x4,%esi
lea is_prime,%rcx
51: cmp %esi,%edi
jl 63
0.11 mov %rsi,%rax
77.29 58: movb $0x0, (%rcx,%rax,1)
5.09 add %rdx,%rax
cmp %eax,%edi
9.36 jge 58
0.11 63: add $0x2,%rsi
0.22 add $0x1,%rdx
cmp %r8,%rdx
0.65 jne 51
mov $0x2,%eax
lea primes,%rdx
lea is_prime,%rcx
jmp b1
85: sub $0x8,%rsp
lea __PRETTY_FUNCTION___.0,%rcx
mov $0xc,%edx
lea _IO_stdin_used+0xd,%rsi
lea _IO_stdin_used+0x19,%rdi
call _init
```

算法的改进，整体减少指令数

```
int *sieve(int n) {
    assert(n + 1 < N);
    //register int i = 0;
    int cnt = 0;
    for (int i = 0; i <= n; i++)
        is_prime[i] = true;
    // memset(is_prime, true, sizeof(is_prime));
    is_prime[0] = false;
    is_prime[1] = false;

    for (int i = 2; i <= n; i++) {
        if(is_prime[i] == true){
            primes[cnt++] = i;
        }
        for (int j = 0; j < cnt && i*primes[j] <= n; j++) {
            is_prime[i*primes[j]] = false;
            if(i % primes[j] == 0)
                break;
        }
    }
}
```

\$perf stat -e cycles,instructions,L1-dcache-loads,L1-dcache-load-misses,LLC-loads,LLC-load-misses ./perftune

213,808,048	cycles				(58.79%)
308,506,769	instructions	#	1.44	insn per cycle	(79.41%)
33,432,816	L1-dcache-loads				(84.23%)
3,170,234	L1-dcache-load-misses	#	9.48%	of all L1-dcache accesses	(86.31%)
61,666	LLC-loads				(86.26%)
5,720	LLC-load-misses	#	9.28%	of all LL-cache accesses	(84.40%)

0.058829288 seconds time elapsed

0.054571000 seconds user

0.004197000 seconds sys

紧凑数据排布，去除重复调用

```
for (int i = 2; i <= n; i++) {
    if(is_prime[i] == true){
        primes[cnt++] = i;
    }
    for (int j = 0, tmp = 2; j < cnt && i*tmp <= n; j++) {
        tmp = primes[j];
        is_prime[i*tmp] = false;
        if(i % tmp == 0)
            break;
    }
}
```

```
for (int i = 3; i <= n; i=i+2) {
    if(is_prime[i] == true){
        primes[cnt++] = i;
    }
}
```

建议：基于sieve调用前后rdtsc
指令结果评测加速倍数

```
> [[all-tests-passed]]
> =[[[-speedup-12.5791-]]]=
```

```
#define BITSET_SIZE N/sizeof(int)+1
typedef struct{
    unsigned int data[BITSET_SIZE];
}BitSet;

static BitSet is_prime;
static inline void initBitSet(BitSet *bitset){
    for(int i = 0; i < BITSET_SIZE; i++){
        bitset->data[i] = 0xFFFFFFFF; //UINT_MAX;
    }
}
static inline void setBit(BitSet *bitset, int index, int offset){
    bitset->data[index] |= (1 << offset);
}
static inline void clearBit(BitSet *bitset, int index, int offset){
    bitset->data[index] &= ~(1 << offset);
}
static inline int getBit(BitSet *bitset, int index, int offset){
    return (bitset->data[index] >> offset) & 1;
}
```

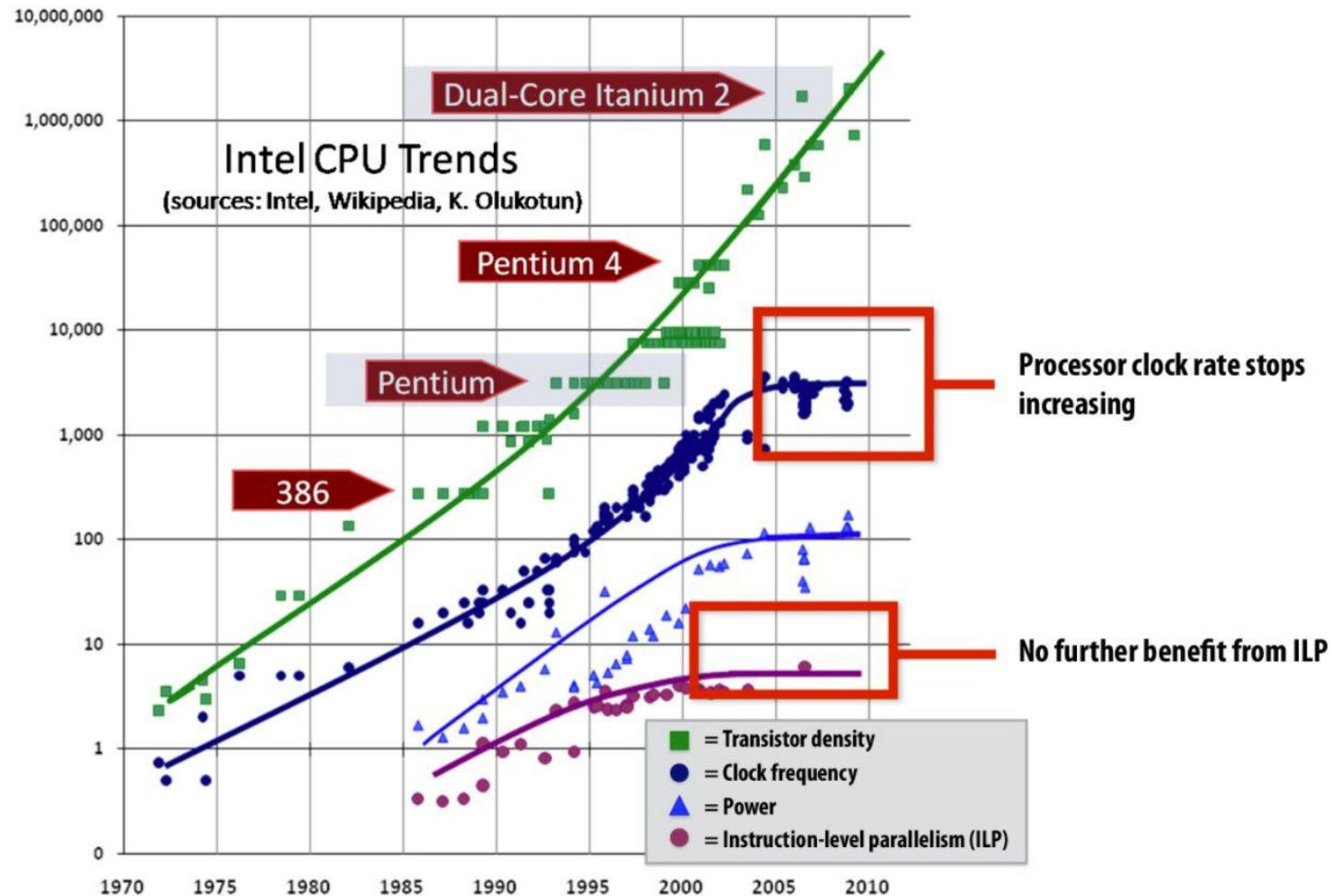
\$perf stat -e cycles,instructions,L1-dcache-loads,L1-dcache-load-misses,LLC-loads,LLC-load-misses ./perftune

33.05 msec	task-clock	#	0.980 CPUs utilized
0	context-switches	#	0.000 /sec
0	cpu-migrations	#	0.000 /sec
3,146	page-faults	#	95.180 K/sec
112,416,584	cycles	#	3.401 GHz
165,998,826	instructions	#	1.48 insn per cycle
39,935,278	branches	#	1.208 G/sec
953,283	branch-misses	#	2.39% of all branches

抛开workload谈优化就是要流氓

- 这是一则来自软件工程领域的忠告
 - 优化占比50%的部分, 和优化占比5%的部分, 效果天差地别
- 启发: 不能依靠直觉来优化觉得哪里有优化机会就改哪里
 - 否则很容易采取了一个没有效果的方案, 甚至会在实际场景中造成性能倒退
- 根据评估数据采取合适的设计方案, 才是科学的做法
 - Amdahl's law = 小学数学应用题
 - 初学者“耍流氓”
 - 缺少相关的专业素养
- Lab2 里锻炼专业素养比“会套算法”重要得多

多核时代：parallel thinking



总结

- 程序性能的优化需求明显
 - Optimization重要
 - Readable code更重要
- 推荐的资料
 - CMU: 15-853: Algorithms in the “Real World”
 - A graduate-level course that provides many useful links to parallel algorithms and I/O-efficient algorithm
 - MIT 6.172: Performance Engineering of Software Systems
 - A more thorough explanation on performance analysis on parallel (multi-core) and distributed setting
 - CMU 15-210: Parallel and Sequential Data Structures and Algorithms
 - An overview of basic algorithms and data structures that makes no distinction between sequential and parallel

总结

- 选择**适合的**算法和数据结构
 - 算法课
 - Parallel thinking
- 编写能够被编译器**有效优化**并转化为高效的可执行代码的源码
 - 理解编译器优化的能力和局限
 - 基本编码原则和低级优化
- 现实的性能诊断任务，用好trace和profiler工具

Lab2: Optimization for PA2 (PA2+性能优化实验)

小实验 (Labs) 是 ICS 这门课程里的一些小练习题，旨在结合课堂知识解决一些实际PA中的问题。PA本身以外的实验也依旧存在很多值得研究并付出努力的地方。这些练习也能够有效帮助大家在完成PA过程中写出更优的实现。

- Deadline: 2025年11月30日23:59:59

1. 背景

性能调优是系统编程的一个重要组成部分。例如同学们在遇到“Online Judge 提交 TLE”以后，如果排除了死循环等逻辑问题，并且坚持算法/数据结构没有选错，就要开始性能调优。根据我们的了解，大部分同学先前性能调优的方法是“随机调优法”：

1. 观察程序，看到一些可能的性能优化点 (例如找到一个 $i * = 2$)；
2. 对程序进行一些功能等价的修改 (例如改成 $i <= 1$)；
3. 运行程序，观察是否有显著的性能提升。

但这种方式显然是不科学、不合理的，例如上面的修改以很大的概率不会对程序的性能有可见的影响。在这个实验中，写出更快的代码不是目的，而是帮助大家熟悉性能分析的工具，然后做出针对性的优化。正如 D. E. Knuth 所说，“Premature optimization is the root of all evil”。